

CLIENTS' RIGHTS ADVOCACY SERVICES

**Annual Report
July 2015 – June 2016**



State Council on Developmental Disabilities

STATE DEVELOPMENTAL CENTER AND STATE OPERATED COMMUNITY FACILITIES CLIENTS' RIGHTS ADVOCACY ANNUAL REPORT

July 1, 2015 – June 30, 2016

Introduction

This report is respectfully submitted in accordance with the interagency agreement between the Department of Developmental Services and the State Council on Developmental Disabilities for the provision of clients' rights advocacy services at all State Developmental Centers and State-Operated Community Facilities.

Lanterman Developmental Center closed on December 23, 2014. The SCDD Clients' Rights Advocate position ended with the closure.

<i>Developmental Center/ Community Facility</i>	Canyon Springs	Fairview	Porterville	Sonoma	Total
<i># of Services Provided (Appendix A)</i>	58	93	100	159	410
<i>Denial of Rights (Appendix B)</i>	6	16	14	14	50
<i># of Requests for Release forwarded to Superior Court (Appendix C)</i>	16	20	19	4	59
<i>Grievances</i>	0	0	0	0	0
<i>WIC 4731 Complaints filed</i>	0	0	0	1	1
<i>Complaints (Non-WIC 4731)</i>	12	6	4	4	26
<i>Incident Reports</i>	0	28	1	0	29
<i>Mandatory Abuse Reports</i>	0	0	0	0	0
<i>DC Staff and Provider Trainings</i>	54	52	26	19	151
<i>Self-Advocacy Trainings</i>	12	7	4	14	37
<i>Meetings with DC Staff</i>	36	45+	19	67	167+

Overview of Services Provided

Canyon Springs Community Facility

Canyon Springs will be open sixteen years in December 2016. The population at the time of this report is 47. A total of over 121 clients have been served by this facility. The CRA provides advocacy services to all individuals at Canyon Springs and those clients that have been transitioned into the community. The CRA is available by phone and in person. All clients can call the CRA by dialing *81 on any payphone located on the clients' residences. Here is a list of activities that the CRA is involved in:

- Provides self-advocacy training to individuals and in small group settings
- Participates in Client Council Meetings that are held monthly
- Facilitates a Client Advocacy Group which is held monthly
- Attends daily morning management meeting to discuss incident reports and other issues involving clients and provides advocacy input
- Visits all work sites clients are participating in those on Canyon Springs Campus and those off campus
- Participates and is a member of the Restrictive Intervention Review Committee (RIRC) Human Rights Committee (HRC)
- Reviews Canyon Springs policies and procedures and provides input concerning advocacy and clients rights
- Facilitates/Coordinates meetings/phone calls between Riverside County Adult Protective Services, Long Term Ombudsman Services, Department of Public Health Licensing and Certification Services and Disability Rights of California
- Participates in weekly Emerging Risk Notification Evaluation Meetings (ERNE) and advocates for clients being discussed
- Provides consultation regarding rights issues and complaint processes to client families and conservators
- Reviews denial of rights reports with clients including restoration criteria and complaint process
- Meets quarterly with management team to discuss policies and procedures, client's concerns and rights protections
- Provides client's rights training to new employees, volunteers, families, conservators, and a refresher course to active employees on a monthly basis, and students from surrounding universities and colleges
- Investigate all suspected rights violations and discuss with the clients their rights and due process
- Represent clients to initiate proceedings in informal and formal fair hearings

Fairview Developmental Center

The CRA continues to provide services in the following areas:

- Investigates alleged clients rights violations and abuse allegations brought to the CRA attention by consumer, family and/or staff.
- Attends denial of rights meetings and approves/reviews denial of rights requests.
- Assists consumers' requests for release with filing Writs of Habeas Corpus.
- Assists consumers with pending court hearings and other legal matters by communicating with attorneys and helping consumers communicate with attorney and Regional Center (RC) representatives.
- Consults with consumers, their families, ID Team and other FDC staff, Deputy Public Defenders and RC representatives regarding rights issues.
- Attends Individual Program Plan (IPP) meetings, Transition Support and Review meetings and special meetings at the request of the consumer, parents, RC representatives, and FDC staff where rights are discussed or to convey consumer concerns.
- Reviews all incident reports with recommendations, as warranted.
- Reviews FDC policy and procedures.
- Provides training and consultation to Orange County Public Defender's Office, District Attorney, and Superior Court, as necessary.
- Makes referrals to various other advocacy agencies, as necessary.
- Provides annual training for all FDC employees in Clients' Rights and SCDD role in advocacy, as well as for new employees at orientation.
- Provides training for FDC staff and other interested parties, as requested.
- Provides self-advocacy training to consumers.
- Provides technical assistance in filing complaints on behalf of consumers and/or their authorized representatives.
- Provides technical assistance in filing fair hearings and supports consumers and/or their authorized representatives, as necessary.

In addition to the above, the CRA attended weekly, monthly, and quarterly meetings to help ensure consumer rights were being protected. These meetings consisted of:

- Behavior Supports Committee (BSC)/Human Rights Committee (HRC)
- Incident Review Committee
- Bioethics Committee
- South Coast Regional Project (SCRIP) Liaison and SCRIP Advisory Meetings
- Fairview Community Association meetings
- Regular meetings with Fairview Executive and Clinical Director

Also this fiscal year, the CRA participated in both DDS' stakeholder meetings and public hearings regarding closure of FDC.

Porterville Developmental Center

The CRA continues to provide services in the following areas:

- ❖ Attends Individual Program Plan (IPP) meetings at the request of residents, parents, regional center staff and Porterville Developmental Center staff where resident's rights are discussed.
- ❖ Attends special meetings when issues of resident's rights arise.
- ❖ Speaks to administration on the behalf of residents when a right has been denied.
- ❖ Have residents sign Requests for Release when they state they want to leave Porterville Developmental Center. Assists them with contacting the Public Defender's Office, and liaison between consumers and Public Defender's Office when consumers cannot contact Public Defender on their own.
- ❖ Assists and represents residents in the fair hearing process.
- ❖ Investigates abuse complaints brought to our attention by the resident, family and/or staff.
- ❖ Approves and reviews denial of rights requests.
- ❖ Provides rights and self-advocacy training to residents and staff.
- ❖ Talks and works with family members on rights issues.
- ❖ Provides training to staff on how to effectively interact with residents and to use positive reinforcement
- ❖ Participates on committees to provide input into policies dealing with resident's rights.
- ❖ Reviews all proposed policy changes that involve clients' rights issues. Member of Policy Committee.
- ❖ Provides training to residents and staff on client's rights, the denial of rights process, and end of life decision making process.
- ❖ Provides self-advocacy training to residents.
- ❖ Provides training and consultation to the Public Defender, District Attorney and the Superior Court.
- ❖ Provide training to the Volunteer Advocates on a monthly basis
- ❖ Review all incident reports.
- ❖ Review all postural and medical supports prescribed in the acute care unit and the skilled nursing unit.
- ❖ Review restricted access plans.
- ❖ Research PDC policies and regulations

In addition to the above, weekly and monthly meetings were attended to help ensure the protection of resident's rights. These meetings consisted of:

- ❖ Human Rights Committee
- ❖ Behavioral Management Committee (reviewed 600 plans for Highly Restrictive Interventions)
- ❖ Incident Review Committee
- ❖ Dysphasia Committee
- ❖ Research Committee
- ❖ Bioethics Committee
- ❖ Secure Treatment Information Committee
- ❖ Family Life Committee
- ❖ Regular meetings were also held with the Executive Director and Clinical Director where issues were discussed and resolutions were sought. Meetings were also held with the Program Directors as needed.

Sonoma Developmental Center

The SDC CRA participated in various clinical, administrative and staff meetings and committees, when clients' rights issues were discussed or when the Clients' Rights Advocate is named as a participant in regulation. This included the following:

- Human Rights Committee
 - Whole Person Review Committee (formerly was known, as both Behavioral Intervention Review Committee and the Health Related Restraint Committee)
 - Human Social Sexual Development Committee
 - Superior Court planning and development Committee
 - Bioethics committee
 - Member of the Incident Action Team (this group reviews facility IR/GER data and can investigate further if a rights issue is indicated. This group disbanded in 2015.
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- CRA trained the clients and staff on civil, personal and service rights three times a month, two times on ICF units and once on an NF unit.
 - CRA had regular contact Executive Director and Clinical Director where issues were discussed and resolutions were sought.
 - CRA was in regular contact with program managers, social workers and unit supervisors to address any issues that did not require executive action.
 - CRA acted as clients' rights resource to consumers and their families, and to other interested persons or organizations in the community.

- Investigated and helped resolve rights issues for consumers who were unable to register a complaint on their own behalf.
- CRA attends Individual Program Plan (IPP) meetings at the request of residents, parents, regional center staff and developmental center staff where residents' rights are discussed.
- Asserted and protected the rights of consumers entering or changing their dwellings, including placement in community care and health care facilities; judicial commitments and/or re-commitments to DDS for placement at DCs/CF; and to assist with filing a Request for Release (Writ of Habeas Corpus).
- Aided the facility in forming two new Human Rights committees; one addresses human social sexual development on campus and the other reviews Superior court planning and development. This facility accommodates the Sonoma Superior Court on campus.
- Aided in educating new community (human rights committee) members during the past year in various aspects of rights for the clients residing here.
- Presented denial of rights and court attendance data to Human Rights Committee (monthly)
- CRA joined special meeting between DDS North coast legislators and SDC stakeholders in response to closure notifications.
- CRA has been serving the clients of the new Northern STAR Acute Crisis unit.
- This CRA was accessible to consumers, including: visiting DC/CF service providers, facilities, and residences; attending planning conferences at the invitation of consumers, or their representatives; and participated in self-advocacy groups and conferences.
- CRA provided interim approvals and reviewed emergency request for restrictive interventions and/or medications with the senior psychologist and supervising pharmacist.
- CRA approves and reviews denial of rights requests.
- CRA acted as a liaison between the Superior court and regional centers with caseloads at SDC.
- CRA advocated for more participation by consumers in court and after court with the presiding judge and court personnel.
- CRA wrote a letter to the public defender advocating individual rights to court participation.
- CRA reviewed restricted access plans
- CRA studied policies and regulations to keep informed of changing trailer bill legislation affecting DC's.
- CRA provides training to residents and staff on end of life decision making and navigating outside hospital legalities that differ from DC policy.

Consumers Served by the Clients' Rights Advocate

See Appendix A

Denial of Rights

See Appendix B

Request of Request for Release Activity

See Appendix C

Complaints Filed Under Grievance Procedure

Developmental Center	Nature of Complaint	Status of Complaint	Outcome of Complaint
<i>Canyon Springs</i>	None		
<i>Fairview</i>	None		
<i>Porterville</i>	None		
<i>Sonoma</i>	None		

Complaints Filed Under Section 4731 WIC and Section 50540 of Title 17, California Code of Regulations

Developmental Center	Nature of Complaint	Status of Complaint	Outcome of Complaint
<i>Canyon Springs</i>	None		
<i>Fairview</i>	None		
<i>Porterville</i>	None		
<i>Sonoma</i>	WIC Section 4731: Appeal for the use of body checks and restricted access to own money.	CRA investigated complaint and submitted a resolution to the client and the developmental	Restrictions were rescinded substantially and client was successfully placed in the

		center.	community.
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Complaints NOT Filed Pursuant to Section 4731 and Section 50540 of Title 17, California Code of Regulations

Developmental Center	Nature of Complaint	Status of Complaint	Outcome of Complaint
<i>Canyon Springs</i>	Client requested more food during meal times	Resolved	Meeting held. Client's portions were increased
	Client wanted to drink sodas	Resolved	Client given opportunity to purchase sodas on community outings
	Client wanted to call Family	Resolved	Arrangements made to facilitate phone call to family member
	Client wanted to work offsite from Canyon Springs	Resolved	Meeting held/Client was given tour of outside work agency
	Client wanted to visit another client that had moved into the community	Resolved	Meeting held/arrangements made for client to visit at Canyon Springs
	Client requested to wear clothing of their choice	Resolved	Client purchased clothing of their choice.
	Client wanted to get their monies	Resolved	Trust Account Office hours were given to client
	Clients wanted more computer time at computer lab	Resolved	Meeting held/schedule was made for more access to computer
	Client wanted to make more money	Resolved	Meeting held/options given for different work sites
	Client wanted an advocate	Resolved	Advocate/Volunteer hired

	Client wanted to move out	Resolved	Meeting held/options for independent living options; request for release initiated
	Client wanted to go their court hearing	Resolved	Discussion with Public Defender. Client attended court
<i>Fairview</i>	Advocacy services noted that in some IPPs under the rights section it reads conserved consumers have been “adjudicated incompetent”.	Ongoing	CRA discussed with FDC administration. Advocacy Services suggested that the language should be changed to include a list of the powers conservators have and indicate consumers do not have an understanding of certain procedures instead of the wording “adjudicated incompetent”. Since the initial discussion, CRA has brought this issue up at with Clinical Director at their regular meeting with updates. CRA continues to see this language in IPPs.
	Consumers were being asked to sign a CPS “Dress Code and Rules of Conduct”. One of the rules said that telephone use during work hours is only allowed for emergency situations.	Resolved	CRA met with the CPS Program Director and staff to discuss the need for the rules as well as the concern that the right to call the CRA, regional center worker was being restricted if rules were kept as written. As a result of this meeting, the rule regarding telephone use was changed so consumers could utilize the telephone during their break times.
	A consumer’s medication was	Resolved	CRA discussed with FDC administration. The Clinical

	titrated down a month before he was to be placed in the community.		Director agreed medication should not be titrated during transition for this individual.
	Two consumers who had Denial of Rights (DORs) in place with enhanced supervision were able to ingest items. One consumer had 2 incidents in a month, the other consumer had one.	Ongoing	CRA met with FDC administration. Program Director told additional staff training would be conducted.
	A consumer residing in the NF program had a community placement (community care licensed homes) identified and transition was occurring. Full bed rails were being used at FDC; full rails could not be utilized in a CCL home. Regional center was requesting bed trials with half rails to be conducted and physician orders to be changed so consumer could move into identified	Resolved	Regional center continued to have difficulty regarding complete bed trials being conducted. CRA met with Clinical Director. Bed trials conducted and residential provider/regional center agreed to have a 1:1 while consumer slept. Physician orders changed and consumer moved into facility.

	home.		
	<p>While attending a TRM there were concerns whether a consumer's current medical issues would be resolved quick enough to allow the consumer to move into the identified home as planned. Transition had been going on for nine months. Given the outcome of the medical status was unknown, the ID Team and brother/conservator made a formal request to the regional center to pay for a bed hold until issues were resolved.</p>	Resolved	<p>CRA wrote a letter to the respective regional center in support of this request and asked that they hold the bed for the consumer while medical issues were being resolved. Bed hold was not needed as medical issues were resolved and consumer was placed.</p>
Porterville	<p>Consumer mail being opened by SDC staff without consumer consent</p>	Resolved	<p>A facility wide plan of correction was enacted after CRA investigation substantiated rights violation.</p>

	Lack of access to a hairstylist for ICF consumer(s).	Resolved	Program management was contacted by this CRA and shortly after the client received a haircut. Further steps were taken to make available an alternative hair stylist available for other consumers needing haircuts.
	CRA received a call from day program staff concerned about the dignity of a client to collect medical specimen while at an off-site.	Resolved	CRA contacted the consumer's residence manager and questioned medical order for collection. CRA advised the team to look at alternatives for collection that would protect privacy and dignity.
	Concern for unsafe conditions on a skilled nursing unit.	The facility management and the unit ID team worked together to re-arrange the unit both in physically space and with staff deployments. Monitoring continues.	The CRA along with the VAS Coordinator were asked by ancillary staff to do a tour of a recently re-located skilled nursing unit and made management aware of hazardous conditions and requested immediate action to rectify the conditions reported.

Sonoma	ICF ID team not following superior court orders to inform all parties of changes that might affect community placement for an ICF client with a writ (request for release).	The CRA met with the Executive Director of SDC; The ED assured us that she was investigating and will remind her staff to abide by the court orders.	The client is now successfully placed in the community.
	Northern Star Acute Crisis staff not following proper DOR process.	The CRA met with the Executive Director of SDC and N Star program director. Ancillary staffs were trained by the CRA in proper process.	SDC administration is supporting further CRA training for ICF staff in the DOR process.
	Unit Supervisor failing to report that a client was asking out aka request for release.	The CRA contacted the Program Director	Staffs involved were re-trained in facility policy for request for release.

		and met with the ICF client at his off-site program. CRA aided client in filing a writ (request for release) to the superior court.	
	ICF ID team not respecting individual choice for medical procedure.	The CRA and VAS contacted the Executive Director of SDC and met with her to discuss an un-conserved client's right to refuse surgery.	Client was given choice not to have surgery.

Incident Reports Submitted by State Developmental Center

Developmental Center	Number	Type
<i>Canyon Springs</i>	0	
<i>Fairview</i>	1 11	Allegations of abuse Emergency Denial of Rights

	12 4	Deaths Allegations of Rights Violations
<i>Porterville</i>	1	Allegation of abuse
<i>Sonoma</i>	0	

Mandatory Abuse Reports Submitted to Other Protective Services Agencies

Developmental Center	Issue	Agency Submitted To
<i>Canyon Springs</i>		All incidences that meet reporting criteria per Department of Developmental Services policy 129 are reported to: Department of Health Services, Department of Developmental Services and the Regional Center.
<i>Fairview</i>	All above listed incidents are reported by FDC to appropriate agencies	
<i>Porterville</i>	None	
<i>Sonoma</i>	None	

DC Staff and Provider Trainings

Developmental Center	Summary of Content	Number and Type of Attendees	Number of Sessions
<i>Canyon Springs</i>	Monthly Block Training - Clients' Rights Advocate duties and responsibilities	28 - Canyon Springs Developmental Center Employees	24

	New Employee/Volunteer/ Orientation Training - Clients' Rights, Requests for Release, Self-Advocacy	15- Canyon Springs Developmental Center's newly hired employees.	20
	Advocacy Training – Clients' Rights	8-Volunteers/ Advocates	6
	Clients' Rights Advocacy Training	14 – San Bernadino Valley College	4
Fairview	Basic Principles of Clients' Rights presentation (one hour)	821 (all staff)	34
	New Employee Orientation – Clients' Rights presentation (1 ½ hours)	110 (all staff)	12
	Supporting Choices, Making Transition	55 (all staff)	6
Porterville	New Employee Orientation: Overview of Consumer's rights, function of the CRA, Denial of Rights process, responsibility of staff as advocates	216 DC Staff	12
	Monthly Advocacy Training	7 Volunteer Advocates	4
	Clients' Rights Training	7 Volunteer Advocates and multiple DC Staff	10
Sonoma	CRA trained employees on the history of the	200+; All disciplines and professions	19

	<p>Lanterman Act. The civil, service and personal rights of consumers at the DC. CRA roles and responsibilities on campus. Information on the State Council and its purpose and information on regional centers and regional projects across the state.</p> <p>Question and answer session.</p>	who are employed by SDC.	
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Self-Advocacy Trainings

Developmental Center	Summary of Content	Number and Type of Attendees	Number of Sessions
<i>Canyon Springs</i>	Canyon Springs Statewide Self Advocacy Groups	47 residents; 6 staff	12
<i>Fairview</i>	<u>July 2014 – PF of FDC General Meeting – What do Regional Centers do</u>	45 residents with support staff	One session
	<u>September 2015 – Self- Advocacy March</u>	50 residents with support staff	One session
	<u>November 2015 – PF of FDC General</u>	24 residents with support staff	One session

	<u>Meeting – IPP Training</u> <u>January 2016 – FDC General Meeting – IPP Training</u> <u>January 2016– PF of FDC General Meeting - New Year, New You Training</u> <u>March 2016 – Pf of FDC General Meeting – DDS Stakeholder; FDC Closure</u> <u>May 2016 – PF of FDC General Meeting – Community Living Options</u>	42 residents with support staff 19 residents with support staff 37 residents with support staff 40 residents and support staff	One session One session One session One session
Porterville	Supporting Choices Placement Transition Money Management Clients' Rights at PDC and in the community	10-13 clients per session	4
Sonoma	Training of civil, service and personal rights afforded to people with developmental disabilities in California. Voting rights and responsibilities. Group voting exercises to make decisions on guest speakers to present at advocacy	20+/- clients per session	14

	<p>sessions.</p> <p>Community Options trainings.</p> <p>Types of work and leisure options in the community.</p> <p>Individual Program Planning training on how to participate and empower individual choices during meetings.</p> <p>Guest speakers from professional groups such as fire department, Clothing department manager and animal farm manager.</p>		
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Meetings Attended with Specified Developmental Center Staff

Developmental Center	Type of DC Staff	Number of Meetings
<i>Canyon Springs</i>	Executive Director	6
	Administrative	12
	Clinical Staff	18
<i>Fairview</i>	Executive Team	11
	<ul style="list-style-type: none"> • Bio-Ethics Committee • BMC/HRC • FDC Community Association meetings • South Coast Regional Project Liaison meetings 	<p>2</p> <p>Weekly</p> <p>12</p> <p>1</p>

	<ul style="list-style-type: none"> • OPS meeting • Governing Body/Executive Committee • Administrative/Committee meetings • Fairview Friends and Family meetings • DDS Stakeholder, Public Hearings, FDC Closure meetings 	1 4 7 4 3
Porterville	Executive Director	3
	Administrative	16
Sonoma	Executive Director	10
	Administrative, Clinical, LOC	57

Systemic Issues

Canyon Springs

Client Input: Canyon Springs has weekly Emerging Risk Notification Evaluation meetings (ERNE). These meetings evaluate risk and ensure the most effective treatment approach is established for each client. Clients do not attend these meetings, where decisions are made about their programming protocols.

Trust account access: Canyon Springs trust accounts are being managed through Fairview Developmental Center. The clients' (SSI/SSA) benefits and P&I monies first go to Fairview. This process continues to cause delays in clients receiving their monies.

Community placement transition: Several clients that are in placement planning are still residing at Canyon Springs with no definite target date to move into the community due to lack of specialized development.

Update: It's noted that transition moves faster when there is increased communication between the facility, the regional project and the Clients' regional center. CRA continues to advocate for increased communication between all entities by assisting

clients with communication to Regional Center service workers and other agencies.

Fairview

Writ of Habeas Corpus filed in the court in Orange County: On November 8, 2013, the Court of Appeal, Fourth Appellate District, Division Three published an opinion known as the “Michelle K case”. Because of this decision, the Orange County Public Defender’s Office felt they could not go calendar requests for release (writs) which were forwarded to them by the FDC CRA. It was the Public Defender Office’s interpretation that only under extreme conditions could they proceed with these Writs of Habeas Corpus. As a result, all of the requests for releases initiated by the FDC CRA have not had any action taken. When discussing this with the Orange County Public Defender’s Office in January 2016, they indicated they holding for the probate process (the case is in court of appeals) as they indicated they could not go through the HOP process. On April 28, 2016, the Court of Appeals published their opinion. In their previous opinion, they concluded Michelle K. had a due process right to periodic judicial review and issued a writ of mandate directing the trial court to conduct a hearing on Harbor Regional Center’s (HRC) recent petition seeking court approval of her ongoing placement. Harbor Regional Center then requested to dismiss its petition before the trial court as they determined a less restrictive placement could meet her needs. Her conservator opposed the dismissal as he felt Harbor Regional Center had not identified a less restrictive placement. Because the placement could not be maintained without HRC’s approval, the trial court granted the dismissal request which the conservator appealed. In the current appeal, the court indicated that after HRC withdrew its support for ongoing placement, judicial review was no longer necessary as Lanterman Act does not permit the client to remain at FDC without HRC’s approval. This transformed the matter from an independent review of the ongoing placement constitutionality into a dispute between the conservator and HRC. The court indicated the conservator must invoke the fair hearing process to challenge HRC’s decision to move the client into a specific community based facility. The conservator may obtain judicial review only after that process has run its course. Despite this, the CRA has continued to complete and submit all requests for release to the Orange County court and we will continue to do so.

Update: As of this writing, Orange County Superior Court has not yet determined how they are going to proceed with writs given the April 28, 2016 appellate decision. They indicated they would notify the CRA once they have decided their course of action.

Hop commitments by Harbor Regional Center: As mentioned above, in March 2014, Harbor Regional Center made a decision to not renew the HOP commitments of their

thirty-nine consumers who reside at FDC. On June 27, 2014 SCDD CRA filed a Title 17 §50540 on behalf of three consumers. In August 2014 we received a response from the executive director which indicated they were actively seeking placement for these three consumers in addition to approximately seventeen others. Harbor Regional Center is continuing to develop resources. Recently, they have begun recommending to the court of jurisdiction continued residence at FDC until a less restrictive community living option becomes available for a number of their consumers. However, HRC consumers continue to reside at FDC without a current commitment regardless of the fact transition activities are in place.

Update: As of July 1, 2016 HRC has fourteen individuals still residing at FDC. Nine of the fourteen do not have current court commitments. Two of these consumers will be moving in July 2016. HRC is working in collaboration with Westside Regional Center (WRC) in regards to funding ARFPSHNs in their catchment area and will be transferring seven consumers to WRC. Once the transfer occurs, five Harbor Regional Center consumers will reside at FDC.

Handcuff usage: In the first six months of the fiscal year, an increase in handcuffs being used as a “last resort” during behavioral emergencies when OPS was called to assist was noted. The CRA brought up this issue when meeting with FDC administration. The CRA also met with the previous commander and the Clinical Director to review the increase use. In addition, all staff have been trained in the use of Eureka pads.

Update: Since February 2016, the use of handcuffs has not been an issue.

Attendance at IPP/Special team meetings: There is inconsistent attendance by consumers and some regional center workers at team meetings (IPPs, TPMs). This was brought up at one of the SCRP Liaison meetings and Clinical Director. The Clinical Director said FDC would offer options (teleconference etc.) to ensure regional center’s participation. The Clinical Director also indicated consumers need to be told they will still get paid or they need to change the time of the IPP meeting.

Update: Since the semiannual report, consumer attendance has improved. Not all consumers stay for the entire meeting but they are in attendance for a portion of the meeting. Regional center participation has improved as well.

Medication changes: There have been numerous situations where the psychiatrist has been changing consumers’ medications without informing conservators. The consumer’s psychiatrist, psychologist, physician, social worker, IPC and Unit

Supervisor reviews behaviors and medications at least monthly. It is at these meetings where the recommendation to either titrate the medication up or down is decided. It is then the physician who implements those changes. In many instances, the conservator who has the power to make medical decisions has not been informed of the recommendations and has not had the chance to voice his/her opinion of the medication change. Medications changes are recommendations; the conservator, if they have the power to make medical decisions should also be consulted prior to any medication changes, as they are part of the ID Team. Conservators can disagree with medication changes.

Update: The CRA brought this issue up to the Clinical Director at their regular meeting. Advocacy Services will continue to monitor and report back to the Clinical Director if this practice continues.

CRA notification of client related incidents: CRA requested being able to receive the 24 hour report. The CRA/VAS Program Director reported that the contract with DDS and the Interagency Agreement indicated that the CRA would have access to all information. CRA discussed this with Clinical Director at their regular meeting. Clinical Director indicated she needed to get clarification. It was later determined that the NOD log was not a tool to be widely distributed and therefore the CRA would not be getting the report.

Update: The CRA will continue to make such requests so that the necessary information could be received to allow for SCDD to carry out the inter-agency agreement service deliverables.

Informed Consent: There does not appear to be any FDC consumers able to consent to simple medical procedures and treatment. The CRA has had the opportunity to review many physicians' declarations and from these reviews none of the consumers have been deemed capable of making any decisions. In one instance, a consumer who had come from Canyon Springs had the ability to consent while living at Canyon Springs. Once transferred, this consumer was determined to not have the capacity to consent. The CRA first brought this issue to the attention of the Clinical. The FDC Medical Director indicated the ability to consent is a team discussion that should be taking place at the IPP. The MD stated it should be a standing IPP agenda item. Since this policy proclamation, in the IPP meetings the CRA has attended, there has not been any discussion about a consumer's ability to consent.

Update: The CRA has reviewed the Policy and Procedure Manual with regards to this issue. According to FDC policy it does not appear it is being implemented as written.

The CRA will continue to discuss this issue with FDC so to ensure consumers are exercising their rights, are provided with support, education and opportunities to participate in healthcare and treatment decisions to their fullest capacity.

Porterville

Physical Assaults: Incidents of client on client verbal and physical assault have risen over the last fiscal year, in the secure treatment area (STA). Client assault on staff have seen a slight increase also. The administration and staff have been working to identify the causes and effects of this increase. The increase in clients coming to PDC, to obtain court competency (commitment code 1370.1 – incompetent to stand trial), may be a contributing factor. Another issue is movement. There are two units, in the STA (Program 7), that have been relocated so that the older units are “upgraded”. Historically, assault numbers are higher in Program 8. These are mostly individuals who are here under the Welfare and Institutions Code Section 6500 (danger to self and others). Some of the clients have been in jail, prison, the streets, have a history illegal drug abuse, refuse medications, and/or have been involved in gang activity.

Update: PDC has implemented a new program CMIT (Crisis Management Intervention Technique), mandatory for all staff, to aid in the prevention of escalation and injury to clients and staff.

Client Immediate Protection Plan (CIPP): CIPP is an increased supervision protocol for consumers. Generated for a variety of reasons including, but not limited to, the following: medical conditions-flu, allergies, injuries, etc., behavioral issues; stealing from others, being out of area without staff knowledge, assaulting others, injuring self, emotional instability, recent death in the family, known during the holidays, pending court hearings and any other issue(s) that the team feels might require monitoring. Increased supervision is designed to be individualized and responsive to the consumers’ needs. Since the implementation of the idea of increased supervision, it has evolved into 24 Hour supervision (referred to as 1:1). Twenty-four hours has become the standard time for increased supervision, and when increase supervision is extended, it is routinely extended for another 24 hours. The implementing staff document the time it was started and staff use this time as the measure for when the supervision will be lifted, instead of making a clinical assessment that is individualized for each consumer.

Update: Consumers continue to report this issue to the CRA. Per follow up consultation with staff, there has been validity to the CIPP being in place and the

length of time it was used. CRA has provided training and will continue to work with staff to ensure the CIPP process is utilized correctly. CRA will continue to monitor.

Access to the community for clients on The Secure Treatment Area (STA): Community access continues to be almost non-existent for consumers residing in Programs 7 within the STA. Consumers in Program 7 go into the community for medical appointments, court appearances and therapeutic leave, if it has been approved. Depending on the commitment code under which the consumer is being held, court approval is required for any type of leave from the STA. Since July 2008, no community trips have been offered to the STP consumers in Program 7. In 2011, Program 8 was opened in the STA. Consumers in Program 8 began going out into the community for meals and shopping but it is limited to only those consumers who reside in Program 8 within the STA, a limited time per month with a limited number of consumers.

Update: The CRA is monitoring.

Therapeutic Leave: In the Secure Treatment Area (STA), it can be difficult for consumers to be granted therapeutic leave with family or friends due to their commitment code. A team meeting must be held to determine the appropriateness of therapeutic leave. If therapeutic leave is sought, and the team agrees, then a request must go through a series of approvals such as, the Program Director, the Office of Protective Services Commander, the Clinical Director and the Executive Director. Many times the leave request is denied at the team level. In the Welfare and Institution Code, only the facility Executive Director can approve or deny therapeutic leave. In addition, for those committed for competency training, approval must be sought from the court for any off ground activities other than court and medical appointments.

Community Placement: Regional Centers are developing community placement resources for consumers from the general treatment area (GTA) and STA, as per the ongoing closure process. Consumers are progressing through program plans and finding that lesser restrictive environments are not readily available. Regional Centers have the responsibility to create living options that serve the needed supports and services. Frequently, Regional Center staff state that there are limited resources in their areas to place consumers that reside in the STA at PDC. There are consumers in the STA that are ready for placement and are waiting for their Regional Center to find an appropriate lesser restrictive placement.

Admissions: Secure treatment Area (STA) had previously always been at near capacity. In 2011, Assembly Bill 104 decreased the number of consumers who receive

services within the STA. Previously the maximum capacity was 297 consumers. AB 104 lowered the maximum capacity to 230 with the stipulation that there will be no new admission into the STA until it has reduced the capacity down to 230 consumers. In addition, DDS cannot admit any more than 104 consumers who are ineligible to participate in programs for federal financial participation which would include the consumers admitted under PC. 1370.1.

Deaths: July 1, 2015 through June 30, 2016, there were nine client deaths.

Discharges: July 1, 2015 through June 30, 2016

Secure Treatment Area: Fifty-four consumers were found competent and directly discharged from PDC to the court system of jurisdiction.

General Treatment Area: One consumer was found competent and directly discharged from PDC.

Community Transition Placement:

During the period of July 1, 2015 through June 30, 2016:

STA: 119 consumers moved into community placement

GTA: 30 consumers moved into community placement

Two clients returned from provisional placement to the STA.

Relationships & Sexuality: Relationships between consumers has always been closely monitored to ensure that no coercion, harassment or abuse occurs. Porterville Developmental Center has addressed sexuality in a very limited aspect. The facilities focus on appropriate social skills via friendship and provide training to consumers regarding abuse prevention and sexually transmitted diseases. All sexual activity is considered as possible sexual abuse until it is thoroughly investigated. A CIPP is to be initiated on any issue concerning privacy and sexual activity. In the Secured Treatment Area (STA), many of the consumers have been at the facility for over a decade and have not been able to engage in an adult relationship beyond friends. Many of these consumers are fully competent to provide consent for all medical needs, behavior plans and rights restrictions yet they are not allowed to be in a relationship beyond the facilities indicated "friendship" level. There is, however, a procedure, in place, in programs 7 & 8, outlining Consensual Affection/Sexual Activity.

Update: CRA is monitoring

Restriction of Grounds “Privileges” in the STP: The consumers are unable to socialize with their peers who live on other residences, with the exception of work/training sites, when utilizing the facility snack bar, or at special events that are held within the STA. When the fence was completed and gates were closed in the STP, PDC administration began calling the socialization that occurred between the clients on grounds “community access.” Their idea was that this was the consumers’ community and by socializing with peers from other residences they were able to participate in “their community.” Previously, in 2002, the consumers who resided in the STP had been able to socialize with their peers, shop at the facility snack bar, attend activities scheduled off the residence, and do their banking at the satellite Trust Office, without being escorted by staff, if the consumer was assessed by the team to be able to behave appropriately. In 2008, a consumer was able to leave the facility without staff being aware (AWOL). The consumer was eventually found, returned to PDC, and later released by the court, via a writ because he had not been legally committed to PDC for the previous eight months. Due to that event, grounds privileges were suspended for all consumers. A restrictive policy was developed. Consumers must prove that they are able to be “responsible” for 6 months prior to the team petitioning for approval, of grounds privilege, by the Program Director in order to socialize within their treatment area.

Update: The CRA has elevated these issues to the State Council on Developmental Disabilities, the Office of Human Rights at DDS, and the Executive and Clinical Directors at PDC. Some of the problems with Therapeutic Leaves and Placement have been addressed by the Tulare County Public Defender and the courts. CRA is monitoring

Past behaviors: Some clients come to PDC with extensive criminal histories, medical and/or mental health issues, in addition to their other service and support needs. Many consumers have been in treatment programs, community placements and/or assisted living programs, in order to remain in the community. With the consumer having failed placement and per order of the court, consumers are then committed to this facility. Finding homes that meet the needs of some of our consumers, who require close monitoring and professional treatment continues to be an unmet need.

Update: CRA is monitoring.

Highly Restrictive Interventions (medications and restraint) and using medications to control behaviors:

The CRA is a member of the Human Rights Committee (HRC) who, in conjunction with the Behavioral Management Committee (BMC), reviews all highly restrictive programs. This committee meets weekly. Many of the behavior modification plans stay the same year after year with the only change being in the type or amount of medication used to attempt to control inappropriate behavior. At times, the dose exceeds the recommended FDA limits and in the past, very few had medication reduction plans. The CRA consistently advocated for the implementation of medication reduction plans or justification for not implementing reduction as per federal guidelines. Porterville Developmental Center has implemented policies to ensure that the federal guidelines for medication reduction plans are addressed. The facility has also addressed the use of poly-pharmacy and moved to have a “cleaner” pharmacological plan that attempts to meet the needs of the consumer.

Update: As of this reporting period, medication reduction plans have become the norm for HRC/BMC review. Plans are consistently falling within the federal guidelines of minimum effective dose. CRA will continue to monitor.

Deterring consumers from pressing charges against consumers and /or staff:

In November 2010, the administration at PDC informed management that if a consumer wished to press charges against another consumer who had assaulted him/her, the case would be sent to PDC's Executive Director who would consult with the Department of Developmental Services and determine if the consumer would be *allowed* to press charges. Although it may not be the intent of PDC's and OPS's administration to systematically block PDC's residents from accessing the services and legal protections of the court, the CRA is very concerned about this, based on *California Penal Code 422.55 & 422.6*. The facility does not appear to be adhering to this code.

Actions Taken: As stated the CRA has elevated many of these issues to various agencies and their management. The CRA also discussed the policy of approving whether consumers will be allowed to press charges on others with the Tulare County Public Defender's office.

Status: This issue is resolved. CRA will, however, continue to monitor.

Recertification: In 2014, PDC lost their certification in the ICF General Treatment Area. A “Program Improvement Plan” was entered into to continue to receive federal funding. Additionally an action plan which is part of the Program Improvement Plan was developed so the facility may re-establish licensing certification again. This will expire in December of 2016. The facility is also utilizing “Person Centered Planning”

approach which is to be the foundation of the IPP, individualized to each consumer that resides in the facility.

Advocacy: The Human Rights Committee and the CRA meet with members from People First from both the GTA & STA campuses. Consumers bring issues of concern and it provides an avenue of communication between the consumers and facility management. Clients' rights issues are addressed at each meeting in addition to clarification as to what are actual rights and the process to ensure rights are protected. The consumers who attend these meetings also hold positions in People First. They are being provided the training to advocate for themselves, their peers and provide their peers with the information that is shared at these meetings.

Sonoma

During the past reporting period, fiscal year July 1 2015 to June 30 2016, the following events and issues were raised at SDC:

The Sonoma Developmental Center has been slated for closure by 2018.

Staffing levels: In past reports, the issue of hiring qualified applicants to vital staffing positions was of concern. Now that a closure date has been stated, there has been a notable exodus of long-time employees with many years of irreplaceable experience. It has also made it hard to attract qualified replacements for those positions. Even before closure announcements it was historically hard to attract personnel willing to re-locate to this relatively expensive and remote part of California. Concerted efforts have been made to hire and re-staff personnel in critical areas. Job Fairs and community outreach through Craigslist and other social media have made an impact. A lot of new staff were hired but the center is still below normal level of care and ancillary staffing levels for a DC of this size and population.

Transition: As more and more people move into the community, training for activities of daily living and mainstreaming behavioral interventions have become major issues. As the developmental centers are considered the highest level of restriction for people with developmental disabilities in California there are interventions in place that cannot be replicated in the community. Although very few individuals on campus still have highly restrictive interventions in their plans, many have not had the opportunity to live in a non-congregant living situation for many years; this can make adjusting to the wider community a challenge.

Whole Person Review: This CRA is a permanent member of the Whole Person Review committee. The WPR functions as an extension of the Human Rights committee and is comprised of community members, professionals of interdisciplinary teams, a pharmacist, psychiatrist, senior occupational therapist and senior psychologists. During the meetings, the Individual Program Plans are reviewed to ensure ID teams are taking all disciplines into consideration when reviewing plans for efficacy.

Transition Planning: CRA attends community Transition Planning Meetings (TPMs), at the request of an individual client, or ID team and now often in place of the Volunteer Advocacy Supervisor (VAS), or an advocate who cannot attend. During these TPMs the CRA assures that the clients' rights are protected and transition planning is individualized. Training is also focused on empowering the individual in their future environment.

Trainings and Consultations: The CRA actively consults with teams on widening greater access and unlocking areas that were historically restricted for the individuals who reside at SDC.

The CRA assisted SDC train new and returning employees. CRA trained individual ancillary staff, various professional groups, level of care staff and newly hired program managers in the area of rights, the Lanterman Act and the role of the CRA as well as responsibilities in assisting the clients they serve.

CRA has offered SDC management to do extra denial of rights and highly restrictive intervention trainings with individual Interdisciplinary Teams on the ICF behavioral residences. The administration has been receptive to this offer.

Vehicles: The service vehicle force was drastically reduced, as per past budget concerns and the state is not currently letting the DC buy some much-needed vehicles. Thus, it is difficult for residents to go on off-unit activities. Support staffs have to work out logistics between multiple residences and this sometimes limits what activities can be offered.

Update: CRA brought this issue to the executive team and to the human rights committee these past six months. I also advocated for increased activities on grounds, off residences and in to the community to try and supplement the lack of resources currently available.

Closure: As closure/transformation announcements were made this CRA was informed

that lots of questions and anxiety have been noted from families of residents, the residents themselves and those that support the population at the DC.

Update: In response, successful efforts have been made for collaboration between some local regional centers and the DC for residents to visit community homes and day programs to better prepare them to understand what is offered in the community. More visits are planned for the remaining population as time progresses.

The CRA assisted the SCDD VAS Coordinator and the DC management team to facilitate a winter Opportunity Fair. It was designed to bring local regional centers and the community service providers (they contract with) to come and present what is offered for this population in the local RC catchment areas. Feedback from those who attended was very positive and more fairs/events are being planned to continue to try and bridge gaps in misperceptions of those involved in the process of closure.

Communication: As the Developmental Center population has decreased there are fewer resources to serve those individuals with communication barriers. Although there are individuals on almost every residence that could benefit from ASL, or a foreign language to be spoken with them it is currently not readily available.

Update: This CRA and the SCDD VAS coordinator have advocated for such services and trainings to be offered on campus. The DC responded by contracting with an outside interpreter service and concentrating some resources to get a state employed interpreter dedicated to provide ASL on campus for those who need it. Unfortunately, the state interpreter has since departed the DC to a job in the community. This continues to be a work in progress situation.

Voting: Historically, voting activities received low turnout from both clients and on the DC campus.

Update: The CRA in conjunction with the SDC active treatment coordinator and SCDD VAS coordinator conducted group choice making and voting activities three times a month across the ICF and NF residences. Groups of clients have been making choices via the ballot box to request different guest speakers to come and present to the groups. So far, the SDC fire department, the SDC clothing center manager and various other DC supporting staff departments have come to speak (based on what the group has voted for) that month. We are also planning a voting opportunity fair with guest speakers from the various political groups in preparation for the up-coming election cycles.

CRA collaborated with the social workers and interested staffs to increase voter education and provide access and assistance with voter registration forms.

Conservatorship: This CRA was contacted by several concerned family members seeking information on obtaining conservatorship for residents on campus.

Update: The CRA responded by referring them to legal counsel in the community if desired. The CRA attempted to educate them on lesser restrictive measures than conservatorship as well as explaining the roles and responsibilities of engaged agencies such as regional centers and licensing agencies in the system.

Service and Policy Recommendations

Canyon Springs

Canyon Springs would benefit from being a 'stand alone' facility that houses its won trust office on site. Presently, Canyon Springs trust accounts are being managed through Fairview Developmental Center. The clients' (SSI/SSA) benefits and P&I monies first go to Fairview. This process continues to cause delays in clients receiving their monies. Canyon Springs becoming a 'stand alone' facility would streamline this process so the clients will have access to their monies in a timely manner.

Canyon Springs has clients who sign their own consents for treatment, medications etc. For the benefit of the client, Canyon Springs could partner with the client's regional center and/or assist with identifying family members or others who could provide consent when the client lacks the capacity.

Fairview

Fairview Developmental Center continues to address sexuality in a limited aspect. Relationships between consumers have always been closely monitored to ensure that no coercion, harassment or abuse occurs. The facility focuses on appropriate social skills via friendship. Advocacy services pushes for ongoing training to consumers regarding sex education (non-consensual intimacy, sexually transmitted diseases and developing relationships beyond friends) would be of benefit to many of the consumers.

Porterville

The CRA and Advocacy services recommend increased client attendance at IPP's and Transitional IPP's. The CRA recommends continued open communication with court

officials, the District Attorney and Public Defenders Offices between PDC, Porterville Regional Project, CRA, and Regional Centers.

CRA will continue to foster open communication with clients and their families and their respective Interdisciplinary Teams (IDT).

CRA will assist with planning and execution of the PDC's Community Fair by inviting families, clients, providers and other stakeholders to an information sharing event.

Sonoma

In order to provide continuous, seamless advocacy, additional provisions for the CRA to follow DC clients' further after community placement is recommended. The SCDD VAS project follows individuals for one year post placement. However, not all individuals receive VAS services. There are a significant amount of DC clients that do not have family or conservators to aid in independent avocations after placement in the community.

Continue to guide interdisciplinary teams to concentrate on getting people who reside at the DC more prepared to go out to the wider community. The active use of replacement behavior or community oriented ADL trainings that can directly benefit individuals coming from congregant living environments should be directed by policy and continued staff training.

Appendix A

APPENDIX A
CONSUMERS SERVED BY CLIENTS' RIGHT ADVOCATE
Canyon Springs Community Facility
July 1, 2015 – June 30, 2016

Primary Disability	Ethnicity	Program/ Residence	Legal Classification	Level of Care	Services Provided	Summary of Outcomes
Mod MR Int Expl	Caucasian	CS-B	LPS Conservatorship	ICF	Assistance required to ensure rights	Advocacy Provided referral to volunteer
Mod MR Schizop	Caucasian	CS-A	LPS Conservatorship	ICF	Assistance w/work transition	Work site changed
Mild MR Anxiety	African American	CS-A	6500	ICF	Court hearing attended	Assistance w/Public Defender
Mod MR NOS	Caucasian	CS-A	6500	ICF	Attended behavioral team mtg	Plans developed. Transition planning to community
Mild MR Imp Ctrl	Caucasian	CS-C	LPS Conservatorship	ICF	Reviewed records	Advocacy Provided referral to volunteer
Mild MR Anxiety	Caucasian	CS-C	LPS Conservatorship	ICF	Attended team mtg at clients' request	Work site changed
Mild MR NOS Psych	African American	CS-C	6500	ICF	Special mtg attended	Plans developed. Apt made at hair salon.
Mild MR NOS Psych	African American	CS-C	6500	ICF	Special mtg attended	Plans developed. Transition planning to community
Mild MR Imp	Caucasian	CS-B	LPS	ICF	Assistance required to	Advocacy Provided referral to volunteer

Ctrl			Conservatorship		ensure rights	
Mild MR Schizo Aff	Caucasian	CS-C	LPS Conservatorship	ICF	Court hearing attended	Assistance w/Public Defender
Mild MR Schizo Aff	African-American	CS-C	LPS Conservatorship	ICF	Attended team mtg at clients' request	Advocacy Provided referral to volunteer
Mild MR Imp Ctrl	Hispanic	CS-A	6500	ICF	Attended team at client's request	Plans developed for transition planning
Mild MR NOS Psych	Hispanic	CS-A	6500	ICF	Assistance required to ensure rights	Assistance contacting Deputy Public Defender
Mild MR Int Expl	Hispanic	CS-C	6500	ICF	Attended team mtg at clients' request	Advocacy Provided referral to volunteer
Mild MR NOS	Caucasian	CS-B	LPS Conservatorship	ICF	Attended team at client's request	Plans developed for different work assignment
Mild MR ODD	Caucasian	CS-B	6500	ICF	Court hearing attended	Assistance w/Public Defender
Mild MR Imp Ctrl	Hispanic	CS-B	6500	ICF	Attended team at client's request	Plans developed for health care needs.
Mild MR Anxiety	Caucasian	CS-A	6500	ICF	Assistance required to ensure rights	Advocacy Provided referral to volunteer
Mild MR NOS Psych	Filipino	CS-A	LPS Conservatorship	ICF	Attended team mtg at client's request	Plans developed. Transition planning to community
Mild MR ODD	Caucasian	CS-A	6500	ICF	Attended team at client's request	Plans developed for transitioning into community
Mild MR Int		CS-A	LPS	ICF	Attended team	Advocacy provided. Referral

Expl			Conservatorship		mtg at clients' request	for volunteer
Mild MR Imp Ctrl	Caucasian	CS-C	LPS Conservatorship	ICF	Assistance required to ensure rights	Plans developed new roommate found
Mild MR NOS Psych	Caucasian	CS-A	LPS Conservatorship	ICF	Assistance required to ensure rights	Facilitated phone call to family members
Mod MR Int Expl	Caucasian	CS-B	6500	ICF	Assistance required to ensure rights	Plans developed. Transition planning to community
Mild MR Imp Ctrl	African American	CS-A	6500	ICF	Attended team at client's request	Plans developed for personal items to be returned
Mild MR NOS Psych	Hispanic	CS-B	6500	ICF	Attended team at client's request	Plans developed for off grounds work program
Mild MR Int Expl	African American	CS-B	LPS Conservatorship	ICF	Attended team mtg at clients' request	Writ filed. CRA attended initial hearing
Mild MR Imp Ctrl	Hispanic	CS-A	6500	ICF	Attended team mtg at client's request	Plans developed. Parents notified
Mild MR ODD	Hispanic	CS-A	6500	ICF	Attended team mtg at client's request	Plans developed new roommate found
Mild MR Imp Ctrl	Caucasian	CS-C	6500	ICF	Attended team mtg at clients' request	Advocacy Provided referral to volunteer
Mild MR	Caucasian	CS-C	LPS Conservatorship	ICF	Attended team mtg at clients'	Plans developed. Transition planning to community

NOS Psych					request	
Mild MR ODD	African American	CS-C	LPS Conservatorship	ICF	Attended team mtg at client's request	Plans developed. Transition planning to community
Mild MR Imp Ctrl	Caucasian	CS-A	6500	ICF	Attended team at client's request	Plans developed. phone to be purchased
Mild MR ODD	African American	CS-C	6500	ICF	Attended team at client's request	Plans developed. Transition planning to community
Mild MR NOS Psych	African American	CS-C	6500	ICF	Attended team mtg at clients' request	Plans developed. Transition planning to community
Mild MR Imp Ctrl	Caucasian	CS-A	In-RE HOP	ICF	Facilitated phone call with Public Defender	Court procedure explained to client
Mild MR Imp Ctrl	Hispanic	CS-B	6500	ICF	Attended team mtg at clients' request	Facilitated phone call to family members
Mild MR ODD	Caucasian	CS-B	6500	ICF	Attended special mtg	Transition plans developed
Mild MR NOS	Caucasian	CS-B	6500	ICF	Attended special mtg	Plans developed. Transition planning to community
Mild MR NOS Psych	Caucasian	CS-C	4507	ICF	Facilitated phone call with DRC	Phone purchasing outing scheduled.
Mild MR Imp Ctrl	Mixed Heritage	CS-C	4507	ICF	Attended special mtg	Transition plans developed
Mild MR Imp Ctrl	Caucasian	CS-C	6500	ICF	Attended team mtg at clients'	Facilitated phone call to family members

					request	
Mild MR Imp Ctrl	Hispanic	CS-C	6500	ICF	Special mtg attended	Plans developed. Transition planning to community
Mild MR ODD	African-American	CS-B	6500	ICF	Attended team mtg at clients' request	Advocacy provided. Work program discussed
Mild MR Schizo Aff	Hispanic	CS-A	6500	ICF	Attended special mtg	Facilitated phone to family members
Mild MR NOS ODD	Hispanic	CS-B	6500	ICF	Attended special mtg	Court hearing explained
Mild MR NOS Psych	African American	CS-A	6500	ICF	Facilitated phone call with DRC	Rights reviewed. Transition plans developed
Mild MR Imp Ctrl	African American	CS-B	6500	ICF	Attended special mtg	Transition plans developed
Mild MR NOS	Caucasian	CS-A	6500	ICF	Assistance required to ensure rights	Plans developed for day program transition
Mild MR Imp Ctrl	African American	CS-B	6500	ICF	Attended team mtg at clients' request	Facilitated phone call to family members
Mild MR Imp Ctrl	Caucasian	CS-A	6500	ICF	Special mtg attended	Facilitated phone call to family members
Mild MR ODD	Hispanic	CS-B	6500	ICF	Attended team mtg at clients' request	Advocacy provided. Work program discussed
Mild MR NOS Psych	Caucasian	CS-C	IN RE-HOP	ICF	Special mtg attended	Advocacy Provided referral to volunteer

Mild MR Schizo Aff	Caucasian	CS-A	6500	ICF	Attended special mtg	Facilitated phone to family members
Mild MR ODD	Caucasian	CS-B	6500	ICF	Attended team mtg at clients' request	Advocacy provided. Work program discussed
Mild MR ODD	Phillipino	CS-C	6500	ICF	Attended team mtg at clients' request	Advocacy provided. Work program discussed
Mild MR Imp Ctrl	Caucasian	CS-A	6500	ICF	Attended team mtg at clients' request	Advocacy provided. Work program discussed
Mild MR NOS Psych	Hispanic	CS-B	6500	ICF	Attended team mtg at clients' request	Advocacy provided. Work program discussed

Confidential Client Information, Welfare and Institutions Code Section 4514

APPENDIX A
CONSUMERS SERVED BY CLIENTS' RIGHT ADVOCATE

Fairview State Developmental Center
January 1, 2016 – June 30, 2016

Primary Disability	Ethnicity	Program Residence	Legal Class	Level of Care	Services Provided	Summary of Outcomes
DD	Caucasian	428	RMRA	ICF	CRA requested to attend TPM.	CRA attended meeting and provided support when necessary.
DD	Caucasian	431	RMRA	ICF	CRA requested to attend IPP/TRM.	CRA attended meeting and provided support when necessary. Consumer moved in the community.
DD	Caucasian	216	JUDH	NF	CRA requested to attend TPM.	CRA attended meeting and provided advocacy support
DD	Black	338	RMRA	ICF	Consumer contacted CRA and said he wanted to leave FDC.	CRA met with consumer and assisted him with completing request for release.
DD	Black	338	RMRA	ICF	CRA requested to attend special to discuss initiation of a DOR for confidential phone use.	CRA attended meeting. DOR put in place. Restoration criteria agreed upon.
DD	Black	338	RMRA	ICF	CRA requested to attend DOR reviews.	CRA attended meetings. Right reinstated.
DD	Hispanic	323	JUDH	ICF	CRA requested to attend TPM/TRM.	CRA attended meeting and provided support when necessary. Consumer placed in the community.

DD	Caucasian	216	JUDH	NF	CRA requested to attend TPM.	CRA attended meeting and provided support when necessary.
DD	Caucasian	338	DMR	ICF	Consumer contacted CRA and said he wanted to leave FDC.	CRA met with consumer and assisted him with completing request for release.
DD	Caucasian	338	DMR	ICF	CRA was requested by consumer to attend IPP.	CRA attended meeting and provided support when necessary.
DD	Caucasian	318	DMR	ICF	CRA requested to attend special IPP	CRA attended meeting and provided support when necessary.
DD	Caucasian	318	DMR	ICF	CRA requested to attend special IPP.	CRA attended meeting and provided support when necessary
DD	Black	201	RMRA	NF	CRA requested to attend TRM.	CRA attended TRM and provided support when necessary. Consumer will move to community on therapeutic leave for 14 days and this will convert into placement.
DD	Caucasian	431	JUDH	ICF	CRA requested to attend special to discuss what type of home and services consumer needs.	CRA attended meeting and provided support when necessary.
DD	Caucasian	431	JUDH	ICF	CRA requested to attend IPP/TPM.	CRA attended meeting and provided support when necessary.
DD	Caucasian	323	DMR	ICF	CRA requested to attend DOR reviews.	CRA attended meetings. Restoration criteria has been met and right reinstated

DD	Caucasian	428	JUDH	ICF	CRA requested by team to attend IPP.	CRA attended meeting and provided support when necessary.
DD	Caucasian	319	JUDH	ICF	CRA requested to attend TPM/TRM.	CRA attended meeting and provided support when necessary. Consumer moved into community.
DD	Caucasian	338	RMR A/M	ICF	CRA requested to attend TPM and TRM.	CRA attended meeting and provided support when necessary. Consumer will move into the community
DD	Caucasian	338	DMR	ICF	CRA requested to attend meetings to discuss a DOR.	CRA attended meeting. DOR instituted. Restoration plan completed.
DD	Caucasian	338	DMR	ICF	CRA requested to attend TPM and TRM.	CRA attended meetings and provided support when necessary. Consumer moved into community.
DD	Hispanic	323	DMR	ICF	CRA requested to attend special IPP meeting	CRA attended meeting. Necessary support provided
DD	Hispanic	323	DMR	ICF	CRA requested to attend IPP.	CRA attended meeting and provided support. Transfer to another facility sent.
DD	Hispanic	323	DMR	ICF	CRA requested to attend a special to discuss transfer to another facility	CRA attended meeting. Necessary support provided.
DD	Hispanic	323	DMR	ICF	CRA was requested to attend a special team meeting.	CRA attended meeting. Support provided.
DD	Hispanic	323	DMR	ICF	CRA requested to attend specials team to discuss DOR	CRA attended meetings and provided support when necessary. DOR put in place

						and restoration criteria developed. DOR is reviewed monthly. Consumer has not met criteria.
DD	Hispanic	323	DMR	ICF	CRA was requested to investigate rights allegation.	CRA conducted investigation and submitted findings
DD	Caucasian	429	RMRA	ICF	CRA requested to attend TPM.	CRA attended meeting and provided support when necessary.
DD	Hispanic	339	DMR	ICF	CRA requested to attend a special to discuss medication recommendation.	CRA attended meeting and provided support when necessary.
DD	Caucasian	321	DMR	ICF	Consumer requested CRA to attend IPP.	CRA attended meeting and provided support when necessary.
DD	Black	338	RMRA	ICF	CRA requested to attend special meeting to discuss placement intention	CRA attended meeting and provided support when necessary.
DD	Caucasian	319	DMR	ICF	CRA requested to attend TPM/TRMs.	CRA attended meetings and provided support when necessary. Consumer was placed in the community.
DD	Caucasian	430	JUDH	ICF	CRA requested by RC to attend special	CRA attended meeting and provided support when necessary.
DD	Black	337	DMR	ICF	CRA requested to attend a pre-admission meeting.	CRA attended meeting and provided support when necessary.
DD	Black	337	DMR	ICF	CRA requested to attend	CRA attended meeting and

					admission meeting, 30, 60 day review meeting.	provided support when necessary.
DD	Black	337	DMR	ICF	CRA requested to attend meeting to discuss emergency DOR that was initiated.	CRA attended meeting. Rights were restored.
DD	Black	337	DMR	ICF	Consumer contacted CRA and said she wanted to leave FDC.	CRA met with consumer and assisted her with completing request for release.
DD	Black	337	DMR	ICF	CRA requested to attend meeting to discuss medications.	CRA attended meeting and provided when necessary.
DD	Caucasian	203	RMRA	NF	CRA requested to attend special to discuss possibility of DNR.	CRA attended meeting and provided support when necessary.
DD	Hispanic	337	DMR	ICF	CRA requested to attend community meetings	CRA attended meetings and provided support when necessary.
DD	Hispanic	337	DMR	ICF	CRA requested to attend special to discuss emergency DOR, initiation of DOR and subsequent DOR reviews.	CRA attended meeting. DOR was continued. Restoration criteria were set. DOR will be reviewed in a month.
DD	Hispanic	337	DMR	ICF	CRA requested to attend another special to discuss emergency DOR, initiation of DOR and subsequent DOR reviews.	CRA attended meeting. DOR was continued. Restoration criteria were set. DOR will be reviewed in a month.
DD	Caucasian	318	JUDH	ICF	CRA requested to attend TPM/TRMs.	CRA attended meeting and provided support when

						necessary. Consumer placed in the community.
DD	Caucasian	319	RMRA	ICF	CRA requested to attend TPM.	CRA attended meeting and provided support when necessary.
DD	Caucasian	429	JUDH	ICF	CRA requested to attend TRM.	CRA attended meeting and provided support. Consumer moved into the community.
DD	Caucasian	431	RMRA	ICF	CRA requested to attend TPM/TRM.	CRA attended meeting and provided support when necessary. Consumer was placed in community.
DD	Caucasian	201	RMRA	NF	CRA requested to attend TPM.	CRA attended meeting and provided support when necessary.
DD	Caucasian	319	JUDH	ICF	CRA requested to attend special meeting.	CRA attended meeting and provided support when necessary.
DD	Caucasian	319	JUDH	ICF	CRA requested to attend TPM.	CRA attended meeting and provided support when necessary.
DD	Caucasian	429	RMRA	ICF	CRA requested to attend TRM.	CRA attended meeting and provided support when necessary. Consumer moved into community.
DD	Caucasian	319	RMRA	ICF	CRA requested to attend TPM.	CRA attended meeting and provided support when necessary.
DD	Caucasian	319	RMRA	ICF	CRA requested to attend IPP.	CRA attended meeting and provided support when necessary.
DD	Other Non-	337	DMRH	ICF	CRA requested to attend	CRA attended meetings and

	White				pre-admit and admission meetings.	provided support when necessary.
DD	Other Non-White	337	DMRH	ICF	CRA requested to attend community reviews	CRA attended meetings and provided support when necessary.
DD	Caucasian	338	DMR	ICF	CRA requested to attend IPP/TPM.	CRA attended meeting and provided support when necessary.
DD	Hispanic	319	JUDH	ICF	CRA requested to attend special meeting to discuss recent incident	CRA attended meeting and provided support when necessary.
DD	Hispanic	323	LPS-DD	ICF	CRA requested to attend DOR review meetings.	Consumer has not met criteria as of yet. DOR continued.
DD	Hispanic	323	LPS-DD	ICF	CRA requested to conduct an alleged rights violation.	CRA conducted investigation.
DD	Hispanic	323	LPS-DD	ICF	CRA requested to attend specials to discuss recent behaviors.	CRA attended meetings and provided support when necessary.
DD	Hispanic	323	LPS-DD	ICF	Consumer contacted CRA and said she wanted to leave FDC.	CRA met with consumer and assisted her with completing request for release.
DD	Caucasian	216	RMRA	NF	CRA requested to attend TRM.	CRA attended meeting and provided support when necessary. Consumer moved into community.
DD	Caucasian	201	RMRA	NF	CRA requested to attend TRM.	CRA attended meeting and provided support when necessary. Consumer moved into the community.
DD	Caucasian	337	DMRH	ICF	CRA requested to attend pre-admission,	CRA attended meeting. CRA provided support when

					admission, 150, 180, 210, 240, 300 day meetings.	necessary.
DD	Caucasian	337	DMRH	ICF	CRA requested to attend a special to discuss placement options.	CRA attended meeting. CRA provided support when necessary.
DD	Caucasian	337	DMRH	ICF	CRA requested to attend TPM/TRM.	CRA attended meeting. CRA provided support when necessary. Consumer is to be placed in the beginning of fiscal year.
DD	Caucasian	321	LPS-DD		CRA requested to attend special to discuss transfer to new unit.	CRA attended meeting and provided support when necessary.
DD	Caucasian	429	JUDH	ICF	CRA requested to attend IPP.	CRA attended meeting and provided support when necessary.
DD	Hispanic	338	RMRA	ICF	Consumer requested CRA to attend IPP meeting.	CRA attended meeting. CRA provided support when necessary.
DD	Hispanic	338	RMRA	ICF	CRA requested to attend meeting to discuss recent behaviors.	CRA attended meeting and provided support when necessary.
DD	Hispanic	323	DMR	ICF	CRA requested to attend IPP.	CRA attended meeting and provided support when necessary.
DD	Hispanic	323	DMR	ICF	At IPP, consumer told CRA she did not want to live at FDC anymore.	CRA assisted consumer in completing a request for release.
DD	Hispanic	323	LPSDD	ICF	CRA requested to attend a special to discuss recent ibehavior.	CRA attended meeting and provided support when necessary.

DD	Hispanic	323	LPSDD	ICF	CRA requested to attend specials to discuss incident.	CRA attended meetings and provided support when necessary.
DD	Hispanic	323	LPSDD	ICF	CRA requested to attend special to discuss initiated emergency DOR and possible continuation of DOR.	CRA attended meeting and provided support when necessary.
DD	Hispanic	323	LPSDD	ICF	CRA requested to attend specials to discuss consumer's progress	CRA attended meeting and provided support when necessary.
DD	Caucasian	430	RMRA	ICF	CRA requested to attend TRM.	CRA attended meeting and provided support when necessary. Consumer moved into community.
DD	Caucasian	430	JUDH	ICF	CRA requested to attend TPM.	CRA attended meeting and provided support as necessary.
DD	Caucasian	430	RMRA	ICF	CRA requested to attend TPM.	CRA attended meeting and provided support as necessary.
DD	Caucasian	428	RMRA	ICF	CRA requested to attend special to discuss community placement barriers	CRA attended meeting and provided support as necessary.
DD	Caucasian	428	RMRA	ICF	CRA requested to attend TRM.	CRA attended meeting and provided support when necessary. Consumer placed in the community.
DD	Hispanic	323	RMRA	ICF	CRA requested to attend specials to discuss sister's concerns.	CRA attended meetings and provided support when necessary,
DD	Caucasian	421	JUDH	ICF	CRA attended court to determine request for	CRA attended court. Judge ordered DNR withdrawn

					DNR to be withdrawn.	
DD	Hispanic	339	DMR	ICF	CRA requested to attend special to discuss recent behaviors.	CRA attended meeting and provided support when necessary.
DD	Other Non-White	215	DMR	NF	CRA requested to attend TRM.	CRA attended meeting and provided support. Consumer placed in community.
DD	Caucasian	431	RMRA	ICF	CRA requested to attend TPM/TRM.	CRA attended meeting and provided support when necessary. Consumer placed in the community.
DD	Unknown	339	DMR	ICF	Consumer contacted CRA and stated he wanted to leave FDC.	CRA met with consumer and assisted him with completing request for release.
DD	Caucasian	321	RMRA	ICF	Consumer contacted CRA and said she wanted to file another writ.	CRA met with consumer and assisted her with completing request for release.
DD	Caucasian	321	RMRA	ICF	CRA requested to attend DOR reviews.	After 9 months, consumer met restoration criteria and right to possessions restored.
DD	Caucasian	321	RMRA	ICF	CRA requested to attend a special to discuss initiating a DOR.	CRA attended meeting. DOR for possession initiated DOR with restoration criteria being set for one month.
DD	Caucasian	321	RMRA	ICF	CRA requested to attend DOR reviews.	CRA attended meeting. Consumer continues to not meet criteria.
DD	Caucasian	339	DMRH	ICF	CRA requested to attend meetings to discuss DOR	CRA attended meeting. DOR for personal possessions was initiated for 30 days.
DD	Caucasian	321	DMR	ICF	CRA requested to attend	CRA attended meeting and

					special to discuss transfer to new unit.	provided support when necessary.
DD	Caucasian	430	JUDH	ICF	CRA requested to attend TPM/TRMs.	CRA attended meetings and provided support when necessary. Consumer moved into the community.

Confidential Client Information, Welfare and Institutions Code Section 4514

APPENDIX A
CONSUMERS SERVED BY CLIENTS' RIGHT ADVOCATE
Porterville State Developmental Center
July 1st, 2015- Jun 30, 2016

Primary Disability	Ethnicity	Program/Residence	Legal Classification	Level of Care	Services Provided	Summary of Outcome
Mild MR	Indonesian/ Caucasian	855	DMR 6500	ICF	Attended pre placement transition meeting/IPP.	Client was placed
Moderate MR	Mexian/ American	506	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	713	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	715	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	854	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	716	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	526	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Black	717	PC 1370.1	ICF	Review of Restricted Access	Approved
Moderate MR	Black	851	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	855	DMR 6500	ICF	Review of Restricted Access	Approved
Profound MR	Caucasian	521	RMRA 75	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	851	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	851	DMR 6500	ICF	Consumer contacted CRA regarding an issues with no hot water available in his bathroom	CRA contacted consumer's residence spoke with staff, work order had already been initiated, consumer moved to alternate bedroom . Issue resolved
Mild MR	Caucasian	851	DMR 6500	ICF	Consumer contacted CRA regarding choice of options in vending machine	CRA explained that the vendor makes the determination of what items are available. Consumer had no further issues
Mild MR	Caucasian	851	DMR 6500	ICF	Consumer contacted CRA regarding the use of the "free" phones on the residences.	CRA informed the consumer that the free phones are designated for contact with the CRA and OPS services only.
Mild MR	Caucasian	851	DMR 6500	ICF	Consumer contacted CRA to inform of current behaviors	CRA discussed with consumer. Offered support as needed
Profound MR	Caucasian	536	RMRA 75	ICF	Review of Restricted Access	Approved
Mild MR	Black	854	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	715	PC 1370.1	ICF	Review of Restricted Access	Approved

Primary Disability	Ethnicity	Program/Residence	Legal Classification	Level of Care	Services Provided	Summary of Outcome
Moderate MR	Caucasian	718	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	854	DMR 6500	ICF	Review of Restricted Access	Approved
Moderate MR	Black	506	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	526	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	715	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Black	713	PC 1370.1	ICF	Review of Restricted Access	Approved
Profound MR	Caucasian	521	RMRA 75	ICF	CRA attended a Special IPP regarding reinstatement of denial of rights	Team agreed to reinstate denial of rights
Profound MR	Caucasian	130	RMRA 95	NF	Postural Support Review	Approved
Mild MR	Black	717	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	852	DMR 6500	ICF	CRA attended special IPP regarding consumer's request for unsupervised visitation with another consumer within program.	Attended special team meeting
Mild MR	Black	505	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Black	852	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	526	DMR 6500	ICF	CRA attended meeting regarding transfer of consumer to another residence within program	Meeting held; Team and consumer discussed the benefits and opportunities with consumer. Consumer in agreement with transfer.
Profound MR	Caucasian	177	RMRA 75	ICF	Postural Support Review	Approved
MR	Hisp	715	PC 1370.1	ICF	Review of Restricted Access	Approved
Moderate MR	Caucasian	718	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Black	851	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	855	DMR 6500	ICF	Review of Restricted Access	Approved
Profound MR	Hisp	525	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	716	PC 1370.1	ICF	Review of Restricted Access	Approved
Severe MR	Hisp	525	RMRA 95	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	718	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	716	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	506	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Black	713	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Black	716	RMRA 95	GAC	Review of Restricted Access	Approved

Primary Disability	Ethnicity	Program/Residence	Legal Classification	Level of Care	Services Provided	Summary of Outcome
Profound MR	Caucasian	178	DMR 6500	ICF	Postural Support Review	Approved
Profound MR	Caucasian	130	RMRA 95	NF	Bio-Ethics review	Approved
Moderate MR	Poly/Tongan	718	PC 1370.1	ICF	Review of Restricted Access	Approved
Moderate MR	Black	851	RMRA 75	ICF	Review of Restricted Access	Approved
Profound MR	Caucasian	536	RMRA 95	ICF	Review of Restricted Access	Approved
Autistic	Caucasian	526	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Black	851	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	855	PC 1370.1	ICF	Review of Restricted Access	Approved
Moderate MR	Hisp	854	DMR 6500	ICF	Review of Restricted Access	Approved
Moderate MR	Hisp	715	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	505	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Black	716	RMRA 75	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	505	DMR 6500	ICF	Review of Restricted Access	Approved
Borderline/ Mild MR	Caucasian	716	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild/Moderate MR	Hisp	178	RMRA 75	NF	Review of Restricted Access	Approved
Profound MR	Caucasian	132	PC 1370.1	ICF	Postural Support Review	Approved
Mild MR	Black	716	RMRA 95	ICF	Review of Restricted Access	Approved
Mild/Moderate MR	Hisp	178	RMRA 75	NF	Review of Restricted Access	Approved
Profound MR	Caucasian	523	RMRA 75	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	716	DMR 6500	ICF	Review of Restricted Access	Approved
Moderate MR	Caucasian	505	RMRA 95	GAC	Review of Restricted Access	Approved
Moderate MR	Caucasian	716	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	717	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	716	PC 1370.1	ICF	Review of Restricted Access	Approved
Moderate MR	Black	716	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	854	DMR 6500	ICF	Review of Restricted Access	Approved

Primary Disability	Ethnicity	Program/Residence	Legal Classification	Level of Care	Services Provided	Summary of Outcome
Severe MR	Caucasian	536	PC 1370.1	ICF	Bio- Ethics Review	Recommendations made
Mild MR	Caucasian	715	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	716	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	716	PC 1370.1	ICF	Client contacted CRA to assist in contacting unit psychologist	Assisted client with self-advocating
Mild MR	Hisp	715	DMR 6500	ICF	Review of Restricted Access	Approved
Moderate MR	Hisp	853	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	715	RMRA 75	NF	Review of Restricted Access	Approved
Mild MR	Caucasian	506	RMRA 95	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	506	RMRA 95	ICF	DOR Meeting	DOR continued
Mild MR	Caucasian	717	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Black	855	RMRA 75	NF	Review of Restricted Access	Approved
Mild MR	Black	717	DMRH HOLD	ICF	Review of Restricted Access	Approved
Mild MR	Black	855	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Black	856	PC 1370.1	ICF	Attended Special IPP/Transition & job placement	Referral initiated to CSDC & new job placement
Mild MR	Hisp	717	DMR 6500	ICF	Review of Restricted Access	Approved
Profound MR	Caucasian	523	DMR 6500	ICF	Review of Restricted Access	Approved
Severe MR	Hisp	523	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Black	854	DMR 6500	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	852	DMR 6000	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	852	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Hisp	716	DMR 6500	ICF	Review of Restricted Access	Approved
Moderate MR	Hisp	129	PC 1370.1	ICF	Postural Support Review	Approved
Profound MR	Caucasian	536	DMR 6500	ICF	Review of Restricted Access	Approved
Profound MR	Caucasian	536	PC 1370.1	ICF	Review of Restricted Access	Approved
Mild MR	Caucasian	506	RMRA 75	ICF	Review of Restricted Access	Approved
Mild MR	Black	717	PC 1370.1	ICF	Review of Restricted Access	Approved
Profound MR	Caucasian	130	DMR 6500	ICF	Postural Support Review	Approved
Mild MR	Black	716	RMRA 95	ICF	Review of Restricted Access	Approved
Mild MR	Black	852	DMR 6500	ICF	Review of Restricted Access	Approved

APPENDIX A
CONSUMERS SERVED BY CLIENTS' RIGHT ADVOCATE
State Developmental Center/Community Facility
July 1, 2015 – June 30, 2016

Primary Disability	Ethnicity	Program/Residence	Legal Class CODE	Level of Care	Services Provided	Summary of outcome
DD	Caucasian	4/Corcoran	77	ICF	Assistance with trust account	Consultation provided. On-going.
DD	Caucasian	6/Stoneman	77	ICF	CRA requested for interpretive conference	No writ filed; Closed
DD	Caucasian	6/Stoneman	77	ICF	CRA requested at TPM	Client successfully moved out in to the community.
DD	Caucasian	4/Corcoran	77	ICF	CRA requested at TPM	On-going
DD	Caucasian	4/Corcoran	92	ICF	The CRA checked in with the client and how he was doing at work.	On-Going
DD	Caucasian	4/Malone	77	ICF	DOR investigation and team meeting	Advocacy support provided
DD	Caucasian	4/Corcoran	77	ICF	Review of client budget management plans	On-going
DD	Caucasian	4/Malone	77	ICF	Review of right to visitors	On-going
DD	Filipino	4/Corcoran	95	ICF	Request for advocacy for community placement	On-going
DD	Filipino	4/Corcoran	95	ICF	DOR review	Closed; The client's rights were fully restored.
DD	Caucasian	4/Corcoran	77	ICF	Review of client protection plan	On-going monitoring

DD	Other Asian	4/Malone	95	ICF	DOR review	DOR instituted
DD	Caucasian	6/Cohen	75	ICF	Writ of habeas corpus and community placement plans	Community placement plans initiated
DD	Other Asian	4/Malone	95	ICF	DOR review	On-going
DD	Cambodian	4/Corcoran	77	ICF	Right to confidential mail investigation	On-going
DD	Cambodian	4/Corcoran	77	ICF	Right to confidential mail investigation	Closed. Mail protocol instituted facility wide
DD	Caucasian	6/Cohen	95	ICF	Awol investigation	Investigation conducted; no writ filed
DD	Other Asian	4/Malone	95	ICF	DOR review; appeal procedure provided	On-going
DD	Other Asian	4/Malone	95	ICF	CRA requested for TPM	On-going
DD	Caucasian	4/Corcoran	92	ICF	The client called the CRA and set up a meeting.	On-going
DD	Other Asian	4/Malone	95	ICF	TPM meeting called	On-going
DD	Caucasian	4/Corcoran	92	ICF	Writ of Habeas corpus assistance	On-going
DD	Caucasian	4/Corcoran	95	ICF	The CRA attended the check-in meeting with the ID team. Update on current IPP	On-going
DD	Caucasian	4/Corcoran	92	ICF	Writ of habeas corpus filed	On-going
DD	Latino	4/Corcoran	77	ICF	Community placement updates	Closed; the client moved to the community
DD	Caucasian	4/Corcoran	95	ICF	TPM attendance	Closed; CRA advocated for the

						client and contacted all interested parties to continue plans for community placement regardless if a Richard S would be filed. The Richard S was not filed and the client successfully moved to the community.
DD	Caucasian	4/Corcoran	77	ICF	Review of community placement	On-going
DD	African American	4/Corcoran	77	ICF	Review of community placement	On-going
DD	Caucasian	4/Corcoran	77	ICF	IPP meeting attendance	Closed
DD	Caucasian	4/Smith	95	ICF	IPP attendance; review of community placement	Closed
DD	Caucasian	4/Corcoran	77	ICF	Client check in and IPP review	On-going
DD	Caucasian	4/Corcoran	95	ICF	IPP meeting; review of transition to another unit	On-going
DD	Caucasian	6/Stoneman	95	ICF	IPP attendance; community placement rewwiewed	On-going
DD	Caucasian	4/Corcoran	77	ICF	Client check in	On-going
DD	Caucasian	4/Corcoran	77	ICF	IPP review; dietary intake reviewed	On-going
DD	Caucasian	4/Corcoran	77	ICF	IPP review for DOR monitoring	Monitoring daily
DD	Caucasian	4/Malone	95	ICF	DOR review	On-going
DD	Caucasian	4/Corcoran	77	ICF	DOR review	On-going
DD	Other Asian	4/Malone	95	ICF	Review of the right to visitors and transition into the community	On-going
DD	Caucasian	4/Lathrop	95	ICF	Interpretive conference	Closed
DD	Caucasian	4/Bemis	77	ICF	Complaint filed against local hospital due to injury during surgery	On-going
DD	Caucasian	4/Corcoran	77	ICF	DOR review	On-going

DD	African American	4/Corcoran	77	ICF	IPP review	On-going
DD	Caucasian	4/Corcoran	92	ICF	Review of IPP and transition to another unit	On-going
DD	Caucasian	4/Smith	95	ICF	The SW called the CRA to hold an interpretive conference with the Smith team for a client that AWOL'ed from the unit.	On-going
DD	Caucasian	4/Smith	95	ICF	AWOL review	On-going
DD	Caucasian	6/Cohen	95	ICF	TPM attendance	On-going
DD	Caucasian	4/Corcoran	95	ICF	Community placement review	Closed
DD	Caucasian	4/Corcoran	92	ICF	IPP attendance	Closed
DD	Caucasian	4/Corcoran	77	ICF	IPP review	On-going
DD	Caucasian	4/Lathrop	95	ICF	AWOL review; writ of habeas corpus	On-going
DD	Caucasian	6/Bentley	95	ICF	Writ of habeas corpus review; transfer to a DC	On-going
DD	Caucasian	Caucasian	77	ICF	DOR and client protection plan review	On-going
DD	Caucasian	4/Corcoran	77	ICF	DOR and IPP review	On-going
DD	Caucasian	4/Corcoran	77	ICF	IPP review	On-going
DD	Caucasian	4/Corcoran	77	ICF	DOR review	On-going
DD	Other Asian	4/Malone	95	ICF	Review of community placement	Closed
DD	Caucasian	4/Corcoran	77	ICF	Title 17 complaint filed	On-going
DD	Caucasian	4/Corcoran	77	ICF	Review of Title 17 complaint	On-going
DD	Caucasian	4/Corcoran	92	ICF	IPP review	On-going
DD	Caucasian	4/Corcoran	77	ICF	Title 17 complaint review	On-going
DD	Caucasian	4/Corcoran	92	ICF	Writ of habeas corpus filed	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended an IPP/intake meeting for a new client.	On-going
DD	Caucasian	4/Lathrop	95	ICF	AWOL reviewed; writ of habeas	On-going

					corpus review	
DD	Caucasian	4/Malone	77	ICF	IPP attendance	On-going
DD	Caucasian	4/Malone	77	ICF	Review of living transition request	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the intake meeting for the client. Initial plan review	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a special meeting to go over progress for the client.	On-going
DD	Caucasian	4/Smith	95	ICF	AWOL review; writ of habeas corpus	On-going
DD	Caucasian	2/Regamey A	95	NF	Community placement planning	On-going
DD	Caucasian	4/Corcoran	92	ICF	Review of the client's WRIT and plans to live on a less restrictive unit	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the client's 30 day.	On-going
DD	Caucasian	4/Lathrop	95	ICF	Community placement plan review	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the 30 day and reviewed the IPP. They went over his progress.	On-going
DD	Caucasian	4/Lathrop	95	ICF	IPP review and placement review	On-going
DD	Caucasian	3/Cromwell	95	NF	The CRA spoke with the client's conservator regarding the closure notice that she had received from SDC.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the 30 day IPP.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The VAS advocate for the client met with the CRA to discuss her case. They discussed a couple of recent events that made them	On-going

					concerned as to the STAR program keeping her safe.	
DD	Caucasian	4/Corcoran	77	ICF	DOR review with SDC executive management	On-going
DD	Caucasian	4/Corcoran	77	ICF	DOR review; parameters set in place	On-going
DD	Filipino	4/Malone	95	ICF	The CRA was consulted by the team responding to a medical condition for the client that needed surgery. As he had just moved to a new unit the new team was having difficulties in convincing the client to have surgery. They wanted advice as to how to proceed.	Rights intact; On-going monitoring
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the client's IEP planning meeting. There are plans for the client to attend an outside school	On-going
DD	Filipino	4/Malone	95	ICF	The CRA attended a special meeting with the ID team regarding current health condition and need for surgery	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the 30 day admission to acute unit	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a special meeting regarding a potential DOR.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a CPP meeting. Right to visitors review	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a debriefing/post incident report of an indecent from the day before involving the client out in the public roadway. Protocols for riding in the van will be reassessed.	On-going
DD	African	4/Corcoran	77	ICF	The CRA attended a special	On-gong

	American				meeting for community placement. The potential provider discussed with the ID team and CRA that the budget has been approved to begin looking for apartments for the client in the EBRC area. Cross training will begin as soon as possible for SLS staff.	
DD	Cambodian	4/Corcoran	77	ICF	The CRA attended a special meeting concerning placement plans. EBRC said housing is approved to begin looking by SLS provider.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a special meeting. EBRC stated that approval for the housing budget is approved. SLS is hiring more managers to aid with placement planning in hopes that it will speed up placement.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended an intake meeting of a client coming from a community facility that had been in a highly restrictive environment.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	Request for meeting to discuss IPP	On-going
DD	Caucasian	4/Lathrop	95	ICF	The CRA was contacted by a SW to attend a Semi Annual meeting with the anticipation of the Unit closing and a referral of moving to another unit.	Family concerns addressed: On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA was scheduled to met with the client for IPP review	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	Request for release review and right to visitation	On-going

DD	Caucasian	4/Corcoran	95	ICF	The CRA met with the team for a special meeting involving talk of a DOR being re-enacted. The client had consumed alcohol to the point of being taken to the hospital.	On-going
DD	Caucasian	4/Corcoran	92	ICF	The CRA met with the client at his off-site. The CRA offered the client contact with legal counsel for the client's desire to ask out without the WRIT process.	On-going
DD	African American	4/Corcoran	77	ICF	The CRA met with the client at his off-site. They discussed the upcoming court date and progress between the client and support staff with the community provider.	On-going
DD	Caucasian	4/Corcoran	92	ICF	The CRA talked with the ORCA from DRC regarding contact with the client and had the ORCA set up a meeting with the client.	On-going
DD	Filipino	4/Malone	95	ICF	After receiving a call from the client's SW with concerns about authorized representation for the housing commission meeting in Santa Cruz County. The CRA advised her to contact the OCR with DDS.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA went on a walk with the client from his off site. They discussed the possibility of the client moving to a less restrictive environment and if he wished to file for a WRIT. The client decided to work with his RC and family to move near his family in the near future.	On-going

DD	Caucasian	4/Corcoran	95	ICF	The CRA attended a special meeting. They were discussing the DOR and detailed the plan of restoration of his rights considering the most recent incident.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a special meeting with the community provider, RC, ID team and client. The search for housing and hiring staff was discussed. The RC stated that they would aid in the expenses for housing. Staff has been coming to visit the client. The client has stated that she wants to hire them. She has been entered into section 8 for housing in the Alameda County area. The provider will continue to send staff to visit and bond.	On-going
DD	Cambodian	4/Corcoran	77	ICF	The CRA attended a special meeting. The client has interviewed prospective staff from the community. It looks like a good match. It looks like six staff will be needed for his supervision requirements in the community.	On-going
DD	African American	4/Corcoran	77	ICF	The CRA attended a special meeting with the prospective community provider. The staffing has not been settled. The section 8 application has been filed.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a 30 day meeting. It was reported that he is doing well. They are proceeding to do coping skills training and what to	On-going

					do in case of unexpected events. The client is having art therapy offered with a psychologist once a week. He is attending a community school. He is learning to be more independent in the kitchen area and receiving bus training.	
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a 30 day meeting. It was an intake review. The client has improved since admittance. The medications have been reduced. One barrier still remaining is the need for catheterization several times a day due to fluid retention. Her seizure disorder is still being discerned.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended an emergency meeting. There had been an incident with the client on an outing in the community. In response to the incident, later in the day back on her residence, she ingested a battery. An emergency DOR was being considered. There was a DOR enacted for 30 days.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA received a voicemail regarding a DOR enacted. The CRA was contacted by the SW and the PA who was covering, they explained in more detail what was going on. The CRA signed and provided copies of the DOR.	On-going
DD	Caucasian	2/Regamey A	95	NF	The CRA attended a special meeting at the request of the US. There were concerns about the	On-going

					client going under anesthesia for a dental procedure at UCSF. There were opposing viewpoints on the client's team. The client's personal dentist attended the meeting and felt that it could go forward if the pulmonary anesthesiologist attended to procedure.	
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA met with the client and team for a 30 day IPP meeting. The client has been here two months. Her communication skills are improving. She is blossoming as a person.	On-going
DD	Caucasian	6/Cohen	75	ICF	The CRA was contacted by the VAS. This client's advocate reported that he was asking out to his US. The US had not contacted the CRA. The CRA called the US who verified that he had communicated on multiple occasions. They discussed that he was here voluntarily and no matter what his health issues are his requests have to be honored.	On-going
DD	Caucasian	6/Cohen	75	ICF	The CRA and VAS discussed a client. The CRA called the unit and the VAS advocate. The CRA then went to the off-site and met with the client. The client indicated that he did want to ask out. A WRIT was filed. The client signed a release of information between SCDD and ORCA to discuss his case.	On-going
DD	Caucasian	6/Cohen	75	ICF	The CRA spoke with a CPSII from	On-going

					SRP. The CRA then called the Executive Director to discuss the US's role in not supporting the client's wish to file a WRIT.	
DD	Filipino	Northern Star	6500	ICF	CRA attended client's initial intake meeting for the client arriving from the Golden Gate Regional Center catchment area to the Northern Star program.	On-going
DD	Caucasian	Northern Star	6500	ICF	CRA attended clients' 30 day IPP review meeting.	On-going
DD	Caucasian	Northern Star	6500	ICF	CRA attended clients' 30 day IPP review meeting.	On-going
DD	Caucasian	Northern Star	6500	ICF	CRA attended clients' 30 day IPP review meeting.	On-going
DD	Filipino	Northern Star	6500	ICF	CRA attended clients' 30 day IPP review meeting.	On-going
DD	African American	4/Smith	95	ICF	Client has a magazine subscription but has PICA. Staff gives access to magazines under direct supervision therefore it is a Denial of his Rights to personal possessions. The CRA aided the team in identifying the denial and consulted with them at an emergency meeting to enact the DOR.	On-going
DD	Caucasian	4/Smith	95	ICF	Client has a magazine subscription but has PICA. Staff gives access to magazines under direct supervision therefore it is a Denial of his Rights to personal possessions. The CRA aided the team in identifying the denial and consulted with them at an emergency meeting to enact the DOR.	On-going

DD	Caucasian	4/Smith	95	ICF	Client has a magazine subscription but has PICA. Staff gives access to magazines under direct supervision therefore it is a Denial of her Rights to personal possessions. The CRA aided the team in identifying the denial and consulted with them at an emergency meeting to enact the DOR.	On-going
DD	Caucasian	4/Corcoran	77	ICF	CRA attended an emergency special meeting due to the client's on-going refusal to eat and not following support plan.	On-going
DD	Caucasian	6/Roadruck	95	ICF	The CRA held an interpretive conference. The client AWOL'd from the unit and was found heading to another unit. A WRIT was not filed. He was on a normal walk path and went back to unit easily. They do not feel he was asking out.	Closed
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a special meeting. There had been a PICA incident. The client found a mask found in the trash and got a piece of metal from it. She ingested the metal and had to have it surgically removed. The use of Posey mitts and a helmet were discussed. ISP needs to be strictly female.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA met with the client and a WRIT was filed. The CRA mentioned that it needs to go through the whole court process and explained all of her legal	On-going

					representation options.	
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a TPM. The client had visited a home in the area she is from. The client loved the home. They are looking to the day program for her to be able to access the community. The home will be all female four bedroom home.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a special meeting. DOR was discussed. Recently there were concerns of possible ingesting his preferred items. He had gone to the store with staff and was able to get some sunglasses – he had ingested parts of sunglasses in the past. He had a DOR a year prior and had not had an incident since. No DOR was filed. The staff will be more aware of what his PICA triggers are.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the TPM meeting. The home was identified. The client went for a visit and loved it. They are looking for a day program. It will be all female residences and staff.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended an IPP/30 day review. They went over the client's progress. There were some issues that were coming up with behavior. The team is working on a plan to get him stabilized.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA met with the client, who was very upset about the prospect	On-going

					of being moved to another residence. The CRA gave the client options of recourse to be able to disagree with the planned move. She chose to fill out the paperwork for Fair Hearing but did check the box to be able to meet with the executive director.	
DD	Caucasian	2/Johnson C	77	NF	The CRA attended an emergency meeting due to indicators that the client has an obstruction of his bowels and it may be cancerous. The team is requesting hospice on the unit and not to send him to an outside hospital.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA talked with the client on the phone regarding the client's usage of her personal phone, paying her phone bill, her DORs and campus access. After the conversation the CRA called the Program Director and assistant in regards to how the facility would be enabling the phone bill to be paid.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA met the client on the unit. They talked about the current restrictions and offered to aid the client in filing a complaint against the facility. At this time the client only wants to meet with the Executive Director and was looking forward to the meeting that was set up.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a Special Meeting regarding the restrictions,	On-going

					denial of rights and access to her funds. The Team explained that they were lowering many of the restrictions and giving the client the option to take be observed in the shower or to submit to taking off her clothing behind a screen and handing them piece by piece to staff for inspection. Only familiar female staff would be involved. One of the psychologists does not want the client to have to go through this process when coming to meet with her.	
DD	Caucasian	4/Corcoran	92	ICF	The CRA met with the client and they talked about a WRIT but he doesn't want to file one right now. He would like to move to a different room at this time. They set up a meeting for the next day.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA went on a walk with the client. They discussed his placement plans which are to be in a group home in Vacaville. The client is looking forward to this.	On-going
DD	Caucasian	4/Corcoran	92	ICF	The CRA met with the client and his offsite instructor. They discussed what the client truly wants. He does not want to go to the community yet; right now he just wants to move to another room with more privacy.	On-going
DD	Caucasian	6/Roadruck	95	ICF	The CRA attended a special meeting. The team is in favor of retiring him from having to go to his	On-going

					off site. It was decided unanimously to have him be offered alternative programming geared towards his individual preferences.	
DD	Caucasian	4/Corcoran	77	ICF	The CRA received a letter under his door from the client. The letter indicated that the client is filing a Title 17 complaint against the facility regarding her restrictive programs.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a TRM for the client to be placed in Vacaville.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA met with the team regarding the behavior support plan for the client and the ability for the client to be able to pay her phone bill as well as ascertaining what the protocol is in the client clothing searches. The team gave the details of how the searches and phone bill payment are being handled. The plan had just been re-evaluated and is becoming less restrictive	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a special meeting. The CRA advised the team on the process of lessening the restrictive.	On-going
DD	Caucasian	4/Corcoran	92	ICF	The CRA met with the client outside the unit. They discussed lessening the restrictive for him, him being able to access the campus on his own at times and to get a more private room.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA called the client and	On-going

					relayed to the client what the CRA had been told by the client's US and SW	
DD	Caucasian	4/Corcoran	77	ICF	The CRA called the client on the client's cell phone. The client was on the way to community placement. The client did not mention anything about the complaint response that the CRA had submitted to her.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA and VAS called the client while the client was in her community home. They spoke about how the placement is going and what she is up to and no mention of the title 17 complaint.	On-going
DD	Caucasian	6/Roadruck	95	ICF	The CRA spoke with the conservator of the client who was inquiring as to being able to donate the client's organs to research when the client passes. They discussed end of life issues and the protocol of Bio-ethics on campus. The CRA advised the conservator that there should be no issue for the donation.	On-going
DD	Caucasian	Norther Star	6500	Acute Crisis	The CRA attended a 30 day meeting for post placement. The CRA talked about the medical management for the client. There are also issues with the client's eating that need to be addressed. The behaviors are better other than the eating part.	On-going
DD	Caucasian	Northern	6500	Acute	The CRA attended the post 72 hour	On-going

		Star		Crisis	meeting. The issue for the client is that she is very dependent on her mother. The mother was at the meeting as well as her team. The mother is the conservator and would like the client to call her less and try and be more independent.	
DD	Caucasian	Northern Star		Acute Crisis	The CRA received a call from a client who wanted to have her RC case manager replaced with a different one. The CRA told the client that he would contact the RC and let them know her request. He called the supervisor in charge of the developmental centers. He stated that his case workers were coming to SDC that day and several would meet with her none of which were her current one.	On-going
DD	African American	6/Stoneman	95	ICF	The CRA attended a transfer meeting for the client. The client's mother was on the phone and the case worker for the RC. The decision was made as to which unit to move him to.	On-going
DD	African American	6/Stoneman	95	ICF	The CRA attended a transfer meeting for the client. The client has a PICA diagnosis and is more complicated to place. They are still figuring out where he would be best served.	On-going
DD	Caucasian	6/Stoneman	77	ICF	The CRA attended a transfer meeting for the client. They decided which unit to move her to.	On-going
DD	African	6/Stoneman	77	ICF	The CRA attended a transfer	On-going

	American				meeting for the client. They discussed whether ICF is still an appropriate level of care for him because his nursing care needs had increased. His conservator was on the phone and it was decided to move him to another ICF unit.	
DD	Caucasian	6/Stoneman	77	ICF	The CRA attended a transfer meeting for the client. They decided the unit to move him to. The CRA wanted to clarify if when the client moved to the community he does not require enhanced behavioral group home like noted in his plan. This could affect a timely placement unnecessarily.	On-going
DD	Caucasian	6/Stoneman	95	ICF	The CRA attended a transfer meeting for the client. There was a decision made as to which unit to move the client to.	On-going
DD	Caucasian	6/Stoneman	77	ICF	The CRA attended a transfer planning meeting due to the closure of the unit.	On-going
DD	African American	6/Poppe	77	ICF	The CRA attended a transfer planning meeting. The RC, community provider and the team met. There was an issue of van rides and a rumor that the client could not tolerate long rides. His placement would be several hours away and the providers would like the team to start the client going on rides.	On-going

APPENDIX A
CONSUMERS SERVED BY CLIENTS' RIGHT ADVOCATE
State Developmental Center/Community Facility
July 1, 2015 – June 30, 2016

Primary Disability	Ethnicity	Program/Residence	Legal Class CODE	Level of Care	Services Provided	Summary of outcome
DD	Caucasian	4/Corcoran	77	ICF	Assistance with trust account	Consultation provided. On-going.
DD	Caucasian	6/Stoneman	77	ICF	CRA requested for interpretive conference	No writ filed; Closed
DD	Caucasian	6/Stoneman	77	ICF	CRA requested at TPM	Client successfully moved out in to the community.
DD	Caucasian	4/Corcoran	77	ICF	CRA requested at TPM	On-going
DD	Caucasian	4/Corcoran	92	ICF	The CRA checked in with the client and how he was doing at work.	On-Going
DD	Caucasian	4/Malone	77	ICF	DOR investigation and team meeting	Advocacy support provided
DD	Caucasian	4/Corcoran	77	ICF	Review of client budget management plans	On-going
DD	Caucasian	4/Malone	77	ICF	Review of right to visitors	On-going
DD	Filipino	4/Corcoran	95	ICF	Request for advocacy for community placement	On-going
DD	Filipino	4/Corcoran	95	ICF	DOR review	Closed; The client's rights were fully restored.
DD	Caucasian	4/Corcoran	77	ICF	Review of client protection plan	On-going monitoring

DD	Other Asian	4/Malone	95	ICF	DOR review	DOR instituted
DD	Caucasian	6/Cohen	75	ICF	Writ of habeas corpus and community placement plans	Community placement plans initiated
DD	Other Asian	4/Malone	95	ICF	DOR review	On-going
DD	Cambodian	4/Corcoran	77	ICF	Right to confidential mail investigation	On-going
DD	Cambodian	4/Corcoran	77	ICF	Right to confidential mail investigation	Closed. Mail protocol instituted facility wide
DD	Caucasian	6/Cohen	95	ICF	Awol investigation	Investigation conducted; no writ filed
DD	Other Asian	4/Malone	95	ICF	DOR review; appeal procedure provided	On-going
DD	Other Asian	4/Malone	95	ICF	CRA requested for TPM	On-going
DD	Caucasian	4/Corcoran	92	ICF	The client called the CRA and set up a meeting.	On-going
DD	Other Asian	4/Malone	95	ICF	TPM meeting called	On-going
DD	Caucasian	4/Corcoran	92	ICF	Writ of Habeas corpus assistance	On-going
DD	Caucasian	4/Corcoran	95	ICF	The CRA attended the check-in meeting with the ID team. Update on current IPP	On-going
DD	Caucasian	4/Corcoran	92	ICF	Writ of habeas corpus filed	On-going
DD	Latino	4/Corcoran	77	ICF	Community placement updates	Closed; the client moved to the community
DD	Caucasian	4/Corcoran	95	ICF	TPM attendance	Closed; CRA advocated for the

						client and contacted all interested parties to continue plans for community placement regardless if a Richard S would be filed. The Richard S was not filed and the client successfully moved to the community.
DD	Caucasian	4/Corcoran	77	ICF	Review of community placement	On-going
DD	African American	4/Corcoran	77	ICF	Review of community placement	On-going
DD	Caucasian	4/Corcoran	77	ICF	IPP meeting attendance	Closed
DD	Caucasian	4/Smith	95	ICF	IPP attendance; review of community placement	Closed
DD	Caucasian	4/Corcoran	77	ICF	Client check in and IPP review	On-going
DD	Caucasian	4/Corcoran	95	ICF	IPP meeting; review of transition to another unit	On-going
DD	Caucasian	6/Stoneman	95	ICF	IPP attendance; community placement rewwiewed	On-going
DD	Caucasian	4/Corcoran	77	ICF	Client check in	On-going
DD	Caucasian	4/Corcoran	77	ICF	IPP review; dietary intake reviewed	On-going
DD	Caucasian	4/Corcoran	77	ICF	IPP review for DOR monitoring	Monitoring daily
DD	Caucasian	4/Malone	95	ICF	DOR review	On-going
DD	Caucasian	4/Corcoran	77	ICF	DOR review	On-going
DD	Other Asian	4/Malone	95	ICF	Review of the right to visitors and transition into the community	On-going
DD	Caucasian	4/Lathrop	95	ICF	Interpretive conference	Closed
DD	Caucasian	4/Bemis	77	ICF	Complaint filed against local hospital due to injury during surgery	On-going
DD	Caucasian	4/Corcoran	77	ICF	DOR review	On-going

DD	African American	4/Corcoran	77	ICF	IPP review	On-going
DD	Caucasian	4/Corcoran	92	ICF	Review of IPP and transition to another unit	On-going
DD	Caucasian	4/Smith	95	ICF	The SW called the CRA to hold an interpretive conference with the Smith team for a client that AWOL'ed from the unit.	On-going
DD	Caucasian	4/Smith	95	ICF	AWOL review	On-going
DD	Caucasian	6/Cohen	95	ICF	TPM attendance	On-going
DD	Caucasian	4/Corcoran	95	ICF	Community placement review	Closed
DD	Caucasian	4/Corcoran	92	ICF	IPP attendance	Closed
DD	Caucasian	4/Corcoran	77	ICF	IPP review	On-going
DD	Caucasian	4/Lathrop	95	ICF	AWOL review; writ of habeas corpus	On-going
DD	Caucasian	6/Bentley	95	ICF	Writ of habeas corpus review; transfer to a DC	On-going
DD	Caucasian	Caucasian	77	ICF	DOR and client protection plan review	On-going
DD	Caucasian	4/Corcoran	77	ICF	DOR and IPP review	On-going
DD	Caucasian	4/Corcoran	77	ICF	IPP review	On-going
DD	Caucasian	4/Corcoran	77	ICF	DOR review	On-going
DD	Other Asian	4/Malone	95	ICF	Review of community placement	Closed
DD	Caucasian	4/Corcoran	77	ICF	Title 17 complaint filed	On-going
DD	Caucasian	4/Corcoran	77	ICF	Review of Title 17 complaint	On-going
DD	Caucasian	4/Corcoran	92	ICF	IPP review	On-going
DD	Caucasian	4/Corcoran	77	ICF	Title 17 complaint review	On-going
DD	Caucasian	4/Corcoran	92	ICF	Writ of habeas corpus filed	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended an IPP/intake meeting for a new client.	On-going
DD	Caucasian	4/Lathrop	95	ICF	AWOL reviewed; writ of habeas	On-going

					corpus review	
DD	Caucasian	4/Malone	77	ICF	IPP attendance	On-going
DD	Caucasian	4/Malone	77	ICF	Review of living transition request	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the intake meeting for the client. Initial plan review	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a special meeting to go over progress for the client.	On-going
DD	Caucasian	4/Smith	95	ICF	AWOL review; writ of habeas corpus	On-going
DD	Caucasian	2/Regamey A	95	NF	Community placement planning	On-going
DD	Caucasian	4/Corcoran	92	ICF	Review of the client's WRIT and plans to live on a less restrictive unit	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the client's 30 day.	On-going
DD	Caucasian	4/Lathrop	95	ICF	Community placement plan review	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the 30 day and reviewed the IPP. They went over his progress.	On-going
DD	Caucasian	4/Lathrop	95	ICF	IPP review and placement review	On-going
DD	Caucasian	3/Cromwell	95	NF	The CRA spoke with the client's conservator regarding the closure notice that she had received from SDC.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the 30 day IPP.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The VAS advocate for the client met with the CRA to discuss her case. They discussed a couple of recent events that made them	On-going

					concerned as to the STAR program keeping her safe.	
DD	Caucasian	4/Corcoran	77	ICF	DOR review with SDC executive management	On-going
DD	Caucasian	4/Corcoran	77	ICF	DOR review; parameters set in place	On-going
DD	Filipino	4/Malone	95	ICF	The CRA was consulted by the team responding to a medical condition for the client that needed surgery. As he had just moved to a new unit the new team was having difficulties in convincing the client to have surgery. They wanted advice as to how to proceed.	Rights intact; On-going monitoring
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the client's IEP planning meeting. There are plans for the client to attend an outside school	On-going
DD	Filipino	4/Malone	95	ICF	The CRA attended a special meeting with the ID team regarding current health condition and need for surgery	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the 30 day admission to acute unit	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a special meeting regarding a potential DOR.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a CPP meeting. Right to visitors review	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a debriefing/post incident report of an indecent from the day before involving the client out in the public roadway. Protocols for riding in the van will be reassessed.	On-going
DD	African	4/Corcoran	77	ICF	The CRA attended a special	On-gong

	American				meeting for community placement. The potential provider discussed with the ID team and CRA that the budget has been approved to begin looking for apartments for the client in the EBRC area. Cross training will begin as soon as possible for SLS staff.	
DD	Cambodian	4/Corcoran	77	ICF	The CRA attended a special meeting concerning placement plans. EBRC said housing is approved to begin looking by SLS provider.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a special meeting. EBRC stated that approval for the housing budget is approved. SLS is hiring more managers to aid with placement planning in hopes that it will speed up placement.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended an intake meeting of a client coming from a community facility that had been in a highly restrictive environment.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	Request for meeting to discuss IPP	On-going
DD	Caucasian	4/Lathrop	95	ICF	The CRA was contacted by a SW to attend a Semi Annual meeting with the anticipation of the Unit closing and a referral of moving to another unit.	Family concerns addressed: On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA was scheduled to met with the client for IPP review	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	Request for release review and right to visitation	On-going

DD	Caucasian	4/Corcoran	95	ICF	The CRA met with the team for a special meeting involving talk of a DOR being re-enacted. The client had consumed alcohol to the point of being taken to the hospital.	On-going
DD	Caucasian	4/Corcoran	92	ICF	The CRA met with the client at his off-site. The CRA offered the client contact with legal counsel for the client's desire to ask out without the WRIT process.	On-going
DD	African American	4/Corcoran	77	ICF	The CRA met with the client at his off-site. They discussed the upcoming court date and progress between the client and support staff with the community provider.	On-going
DD	Caucasian	4/Corcoran	92	ICF	The CRA talked with the ORCA from DRC regarding contact with the client and had the ORCA set up a meeting with the client.	On-going
DD	Filipino	4/Malone	95	ICF	After receiving a call from the client's SW with concerns about authorized representation for the housing commission meeting in Santa Cruz County. The CRA advised her to contact the OCR with DDS.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA went on a walk with the client from his off site. They discussed the possibility of the client moving to a less restrictive environment and if he wished to file for a WRIT. The client decided to work with his RC and family to move near his family in the near future.	On-going

DD	Caucasian	4/Corcoran	95	ICF	The CRA attended a special meeting. They were discussing the DOR and detailed the plan of restoration of his rights considering the most recent incident.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a special meeting with the community provider, RC, ID team and client. The search for housing and hiring staff was discussed. The RC stated that they would aid in the expenses for housing. Staff has been coming to visit the client. The client has stated that she wants to hire them. She has been entered into section 8 for housing in the Alameda County area. The provider will continue to send staff to visit and bond.	On-going
DD	Cambodian	4/Corcoran	77	ICF	The CRA attended a special meeting. The client has interviewed prospective staff from the community. It looks like a good match. It looks like six staff will be needed for his supervision requirements in the community.	On-going
DD	African American	4/Corcoran	77	ICF	The CRA attended a special meeting with the prospective community provider. The staffing has not been settled. The section 8 application has been filed.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a 30 day meeting. It was reported that he is doing well. They are proceeding to do coping skills training and what to	On-going

					do in case of unexpected events. The client is having art therapy offered with a psychologist once a week. He is attending a community school. He is learning to be more independent in the kitchen area and receiving bus training.	
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a 30 day meeting. It was an intake review. The client has improved since admittance. The medications have been reduced. One barrier still remaining is the need for catheterization several times a day due to fluid retention. Her seizure disorder is still being discerned.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended an emergency meeting. There had been an incident with the client on an outing in the community. In response to the incident, later in the day back on her residence, she ingested a battery. An emergency DOR was being considered. There was a DOR enacted for 30 days.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA received a voicemail regarding a DOR enacted. The CRA was contacted by the SW and the PA who was covering, they explained in more detail what was going on. The CRA signed and provided copies of the DOR.	On-going
DD	Caucasian	2/Regamey A	95	NF	The CRA attended a special meeting at the request of the US. There were concerns about the	On-going

					client going under anesthesia for a dental procedure at UCSF. There were opposing viewpoints on the client's team. The client's personal dentist attended the meeting and felt that it could go forward if the pulmonary anesthesiologist attended to procedure.	
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA met with the client and team for a 30 day IPP meeting. The client has been here two months. Her communication skills are improving. She is blossoming as a person.	On-going
DD	Caucasian	6/Cohen	75	ICF	The CRA was contacted by the VAS. This client's advocate reported that he was asking out to his US. The US had not contacted the CRA. The CRA called the US who verified that he had communicated on multiple occasions. They discussed that he was here voluntarily and no matter what his health issues are his requests have to be honored.	On-going
DD	Caucasian	6/Cohen	75	ICF	The CRA and VAS discussed a client. The CRA called the unit and the VAS advocate. The CRA then went to the off-site and met with the client. The client indicated that he did want to ask out. A WRIT was filed. The client signed a release of information between SCDD and ORCA to discuss his case.	On-going
DD	Caucasian	6/Cohen	75	ICF	The CRA spoke with a CPSII from	On-going

					SRP. The CRA then called the Executive Director to discuss the US's role in not supporting the client's wish to file a WRIT.	
DD	Filipino	Northern Star	6500	ICF	CRA attended client's initial intake meeting for the client arriving from the Golden Gate Regional Center catchment area to the Northern Star program.	On-going
DD	Caucasian	Northern Star	6500	ICF	CRA attended clients' 30 day IPP review meeting.	On-going
DD	Caucasian	Northern Star	6500	ICF	CRA attended clients' 30 day IPP review meeting.	On-going
DD	Caucasian	Northern Star	6500	ICF	CRA attended clients' 30 day IPP review meeting.	On-going
DD	Filipino	Northern Star	6500	ICF	CRA attended clients' 30 day IPP review meeting.	On-going
DD	African American	4/Smith	95	ICF	Client has a magazine subscription but has PICA. Staff gives access to magazines under direct supervision therefore it is a Denial of his Rights to personal possessions. The CRA aided the team in identifying the denial and consulted with them at an emergency meeting to enact the DOR.	On-going
DD	Caucasian	4/Smith	95	ICF	Client has a magazine subscription but has PICA. Staff gives access to magazines under direct supervision therefore it is a Denial of his Rights to personal possessions. The CRA aided the team in identifying the denial and consulted with them at an emergency meeting to enact the DOR.	On-going

DD	Caucasian	4/Smith	95	ICF	Client has a magazine subscription but has PICA. Staff gives access to magazines under direct supervision therefore it is a Denial of her Rights to personal possessions. The CRA aided the team in identifying the denial and consulted with them at an emergency meeting to enact the DOR.	On-going
DD	Caucasian	4/Corcoran	77	ICF	CRA attended an emergency special meeting due to the client's on-going refusal to eat and not following support plan.	On-going
DD	Caucasian	6/Roadruck	95	ICF	The CRA held an interpretive conference. The client AWOL'd from the unit and was found heading to another unit. A WRIT was not filed. He was on a normal walk path and went back to unit easily. They do not feel he was asking out.	Closed
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a special meeting. There had been a PICA incident. The client found a mask found in the trash and got a piece of metal from it. She ingested the metal and had to have it surgically removed. The use of Posey mitts and a helmet were discussed. ISP needs to be strictly female.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA met with the client and a WRIT was filed. The CRA mentioned that it needs to go through the whole court process and explained all of her legal	On-going

					representation options.	
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended a TPM. The client had visited a home in the area she is from. The client loved the home. They are looking to the day program for her to be able to access the community. The home will be all female four bedroom home.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a special meeting. DOR was discussed. Recently there were concerns of possible ingesting his preferred items. He had gone to the store with staff and was able to get some sunglasses – he had ingested parts of sunglasses in the past. He had a DOR a year prior and had not had an incident since. No DOR was filed. The staff will be more aware of what his PICA triggers are.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended the TPM meeting. The home was identified. The client went for a visit and loved it. They are looking for a day program. It will be all female residences and staff.	On-going
DD	Caucasian	Northern Star	6500	Acute Crisis	The CRA attended an IPP/30 day review. They went over the client's progress. There were some issues that were coming up with behavior. The team is working on a plan to get him stabilized.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA met with the client, who was very upset about the prospect	On-going

					of being moved to another residence. The CRA gave the client options of recourse to be able to disagree with the planned move. She chose to fill out the paperwork for Fair Hearing but did check the box to be able to meet with the executive director.	
DD	Caucasian	2/Johnson C	77	NF	The CRA attended an emergency meeting due to indicators that the client has an obstruction of his bowels and it may be cancerous. The team is requesting hospice on the unit and not to send him to an outside hospital.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA talked with the client on the phone regarding the client's usage of her personal phone, paying her phone bill, her DORs and campus access. After the conversation the CRA called the Program Director and assistant in regards to how the facility would be enabling the phone bill to be paid.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA met the client on the unit. They talked about the current restrictions and offered to aid the client in filing a complaint against the facility. At this time the client only wants to meet with the Executive Director and was looking forward to the meeting that was set up.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a Special Meeting regarding the restrictions,	On-going

					denial of rights and access to her funds. The Team explained that they were lowering many of the restrictions and giving the client the option to take be observed in the shower or to submit to taking off her clothing behind a screen and handing them piece by piece to staff for inspection. Only familiar female staff would be involved. One of the psychologists does not want the client to have to go through this process when coming to meet with her.	
DD	Caucasian	4/Corcoran	92	ICF	The CRA met with the client and they talked about a WRIT but he doesn't want to file one right now. He would like to move to a different room at this time. They set up a meeting for the next day.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA went on a walk with the client. They discussed his placement plans which are to be in a group home in Vacaville. The client is looking forward to this.	On-going
DD	Caucasian	4/Corcoran	92	ICF	The CRA met with the client and his offsite instructor. They discussed what the client truly wants. He does not want to go to the community yet; right now he just wants to move to another room with more privacy.	On-going
DD	Caucasian	6/Roadruck	95	ICF	The CRA attended a special meeting. The team is in favor of retiring him from having to go to his	On-going

					off site. It was decided unanimously to have him be offered alternative programming geared towards his individual preferences.	
DD	Caucasian	4/Corcoran	77	ICF	The CRA received a letter under his door from the client. The letter indicated that the client is filing a Title 17 complaint against the facility regarding her restrictive programs.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a TRM for the client to be placed in Vacaville.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA met with the team regarding the behavior support plan for the client and the ability for the client to be able to pay her phone bill as well as ascertaining what the protocol is in the client clothing searches. The team gave the details of how the searches and phone bill payment are being handled. The plan had just been re-evaluated and is becoming less restrictive	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA attended a special meeting. The CRA advised the team on the process of lessening the restrictive.	On-going
DD	Caucasian	4/Corcoran	92	ICF	The CRA met with the client outside the unit. They discussed lessening the restrictive for him, him being able to access the campus on his own at times and to get a more private room.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA called the client and	On-going

					relayed to the client what the CRA had been told by the client's US and SW	
DD	Caucasian	4/Corcoran	77	ICF	The CRA called the client on the client's cell phone. The client was on the way to community placement. The client did not mention anything about the complaint response that the CRA had submitted to her.	On-going
DD	Caucasian	4/Corcoran	77	ICF	The CRA and VAS called the client while the client was in her community home. They spoke about how the placement is going and what she is up to and no mention of the title 17 complaint.	On-going
DD	Caucasian	6/Roadruck	95	ICF	The CRA spoke with the conservator of the client who was inquiring as to being able to donate the client's organs to research when the client passes. They discussed end of life issues and the protocol of Bio-ethics on campus. The CRA advised the conservator that there should be no issue for the donation.	On-going
DD	Caucasian	Norther Star	6500	Acute Crisis	The CRA attended a 30 day meeting for post placement. The CRA talked about the medical management for the client. There are also issues with the client's eating that need to be addressed. The behaviors are better other than the eating part.	On-going
DD	Caucasian	Northern	6500	Acute	The CRA attended the post 72 hour	On-going

		Star		Crisis	meeting. The issue for the client is that she is very dependent on her mother. The mother was at the meeting as well as her team. The mother is the conservator and would like the client to call her less and try and be more independent.	
DD	Caucasian	Northern Star		Acute Crisis	The CRA received a call from a client who wanted to have her RC case manager replaced with a different one. The CRA told the client that he would contact the RC and let them know her request. He called the supervisor in charge of the developmental centers. He stated that his case workers were coming to SDC that day and several would meet with her none of which were her current one.	On-going
DD	African American	6/Stoneman	95	ICF	The CRA attended a transfer meeting for the client. The client's mother was on the phone and the case worker for the RC. The decision was made as to which unit to move him to.	On-going
DD	African American	6/Stoneman	95	ICF	The CRA attended a transfer meeting for the client. The client has a PICA diagnosis and is more complicated to place. They are still figuring out where he would be best served.	On-going
DD	Caucasian	6/Stoneman	77	ICF	The CRA attended a transfer meeting for the client. They decided which unit to move her to.	On-going
DD	African	6/Stoneman	77	ICF	The CRA attended a transfer	On-going

	American				meeting for the client. They discussed whether ICF is still an appropriate level of care for him because his nursing care needs had increased. His conservator was on the phone and it was decided to move him to another ICF unit.	
DD	Caucasian	6/Stoneman	77	ICF	The CRA attended a transfer meeting for the client. They decided the unit to move him to. The CRA wanted to clarify if when the client moved to the community he does not require enhanced behavioral group home like noted in his plan. This could affect a timely placement unnecessarily.	On-going
DD	Caucasian	6/Stoneman	95	ICF	The CRA attended a transfer meeting for the client. There was a decision made as to which unit to move the client to.	On-going
DD	Caucasian	6/Stoneman	77	ICF	The CRA attended a transfer planning meeting due to the closure of the unit.	On-going
DD	African American	6/Poppe	77	ICF	The CRA attended a transfer planning meeting. The RC, community provider and the team met. There was an issue of van rides and a rumor that the client could not tolerate long rides. His placement would be several hours away and the providers would like the team to start the client going on rides.	On-going

Appendix B

DENIAL OF RIGHTS
ANNUAL REPORT
Canyon Springs Community Facility
July 2015 - June 2016

State of California- Health and Human Services
DENIAL OF RIGHTS Semi Annual Report
DS 308

Department of Developmental Services

Client Information
W & I Code, Section 4514

Right(s) Denied	Good Cause	Date Denial Began	Date of Review	Date of Restoration
C,S	I	07/29/15	08/29/15	08/20/15
C,S	I	10/15/15	11/15/15	10/27/15
C,P	I	11/02/15	12/02/15	11/16/15
C,S	I	01/11/16	02/11/16	01/12/16
C,S	I	04/29/16	05/29/16 06/29/19	06/07/16
C,P	I	05/04/16	06/04/16 07/04/16	06/07/16

Clients Rights:

- M** To keep and be allowed to spend one's own *money* for personal and incidental needs.
- V** To see *visitors* each day
- C** To keep and wear one's own *clothing*.
- T** To have reasonable access to *telephones* ,both to make and receive confidential calls, and to have calls made for one upon request.
- L** To mail and receive unopened correspondence and to have ready access to *letter* writing materials, including sufficient postage.

- P** To keep and use one's own personal *possessions*, including toilet articles.
- S** To have access to individual *storage* space for one's private use.

Good Cause for Denial:

- I** Exercise of specific right would be *injurious* to the client; or
- O** There is evidence that if the rights is not denied the client's exercise of it would seriously infringe upon the rights of *others*; or
- D** The institution or facility would suffer serious property *damage* if the right is not denied.

Note: Authority Cited 4505- Welfare and Institutions Code

**DENIAL OF RIGHTS
ANNUAL REPORT
Fairview State Developmental Center
July 2015 - June 2016**

State of California- Health and Human Services
DENIAL OF RIGHTS Semi Annual Report
DS 308

Department of Developmental Services

Client Information
W & I Code, Section 4514

Right(s) Denied	Good Cause	Date Denial Began	Date of Review	Date of Restorati on
P	I	7/10/12	7/10/12 through 1/13/16, 2/9/16, 3/9/16, 4/11/16, 5/4/16, 6/1/16, 6/14/16	Continued
P	I	6/29/15	7/28/15, 8/15, 9/23/15, 10/8/15, 11/5/15, 12/7/15, 1/7/16, 2/4/16, 3/1/16	3/1/16

P	I	12/4/15	12/31/15, 1/27/16, 2/24/16, 3/23/16, 4/20/16, 5/18/16	5/18/16
P	I	12/12/15	12/17/15	12/17/15
P	I	12/18/15	1/4/16, 1/28/16	1/28/16
T	I	1/11/16	2/10/16, 3/9/16, 4/6/16	4/6/16
P	I	2/1/16	2/29/16, 3/30/16, 4/27/16, 5/25/16, 6/14/16	Continued
P	O	2/6/16	2/29/16	2/29/16
P	O	3/1/16	3/30/16	3/30/16
P	I	3/3/16	4/4/16, 5/3/16, 6/2/16	Continued

P	I	4/5/16	5/4/16, 6/1/16, 6/14/16	Continued
T	I	4/7/16	5/4/16, 6/1/16,	6/1/16
T	I	6/1/16	6/14/16	Continued
T	I	4/11/16	5/9/16, 6/9/16	6/9/16
P	I	4/18/16	5/18/16	5/18/16
P	I	5/1/16	5/2/16	5/2/16

Clients Rights:

- M** To keep and be allowed to spend one's own *money* for personal and incidental needs.
- V** To see *visitors* each day
- C** To keep and wear one's own *clothing*.
- T** To have reasonable access to *telephones* ,both to make and receive confidential calls, and to have calls made for one upon request.
- L** To mail and receive unopened correspondence and to have ready access to *letter* writing materials, including sufficient postage.
- P** To keep and use one's own personal *possessions*, including toilet articles.
- S** To have access to individual *storage* space for one's private use.

Good Cause for Denial:

- I Exercise of specific right would be *injurious* to the client; or
- O There is evidence that if the rights is not denied the client's exercise of it would seriously infringe upon the rights of *others*; or
- D The institution or facility would suffer serious property *damage* if the right is not denied.

Note: Authority Cited 4504- Welfare and Institutions Code

DENIAL OF RIGHTS
ANNUAL REPORT
Porterville State Developmental Center
July 2015 - June 2016

State of California- Health and Human Services
DENIAL OF RIGHTS Semi Annual Report
DS 308

Department of Developmental Services

Client Information
W & I Code, Section 4514

Right(s) Denied	Good Cause	Date Denial Began	Date of Review	Date of Restoration
P	I	11/25/2015	11/30/15	Reinstated 11/30/15
P, S	I	7/27/2015	08/05/15	Reinstated on 7/27/15
S	I	5/23/2008	07/22/15	Reinstated on 7/31/15
P, S	I	8/4/2015	08/26/15	Reinstated on 8/6/15
M	I	4/4/16	07/20/16	30 day review
C,P,S	I	4/19/2001	07/25/16	30 day review
P,S	I	9/9/2005	07/20/16	30 day review
S	I	9/16/2014	07/18/16	30 day review
M, T, L	I	12/24/2015	07/20/16	30 day review
P	O	7/14/2015	07/22/15	Reinstated on

				7/16/15
P,S	I	9/22/2015	09/30/15	Reinstated on 9/23/15
P	I	11/23/2015	07/20/16	30 day review
P	O	8/26/2015	09/02/15	Reinstated on 9/9/15
P	I	9/10/2015	10/09/15	Reinstated on 10/1/15

Clients Rights:

- M** To keep and be allowed to spend one=s own *money* for personal and incidental needs.
- V** To see *visitors* each day
- C** To keep and wear one=s own *clothing*.
- T** To have reasonable access to *telephones*, both to make and receive confidential calls, and to have calls made for one upon request.
- L** To mail and receive unopened correspondence and to have ready access to *letter* writing materials, including sufficient postage.
- P** To keep and use one=s own personal *possessions*, including toilet articles.
- S** To have access to individual *storage* space for one=s private use.

Good Cause for Denial:

- I** Exercise of specific right would be *injurious* to the client; or
- O** There is evidence that if the rights is not denied the client=s exercise of it would seriously infringe upon the rights of *others*; or
- D** The institution or facility would suffer serious property *damage* if the right is not denied.

Note: Authority Cited 4504- Welfare and Institutions Co

DENIAL OF RIGHTS
Sonoma State Developmental Center
July 2015 - June 2016
(Semi-Annual/Annual format)

State of California- Health and Human Services
DENIAL OF RIGHTS QUARTERLY REPORT
DS 308

Department of Developmental Services

Client Information
W & I Code, Section 4514

Right(s) Denied	Good Cause	Date Denial Began	Date of Review	Date of Restoration
P	I	08/10/15	08/17/15, 09/15/15, 10/13/15, 11/19/15, 12/24/15,	01/24/16
P	ID	07/12/15	NA	07/20/15
P	I	09/08/15	09/23/15	10/22/15
P	I	09/14/15	NA	09/15/2015
P	IO	06/16/16	06/16/16	06/16/16
P	I	02/02/15	07/28/15, 08/25/15,	09/16/2015
P	I	12/30/14	07/28/15, 08/25/15,	09/16/2015
T	I	10/15/15	10/22/15, 11/17/15,	03/24/16

			12/24/15, 01/19/16, 01/28/16, 02/25/16	
P	I	10/15/15	10/22/15, 11/17/15, 12/24/15, 01/19/16, 01/28/16, 02/25/16	03/24/16
P	I	10/15/15	10/22/15, 11/17/15, 12/24/15, 01/19/16, 01/28/16, 02/25/16	03/24/16
P	I	12/30/14	07/30/15, 08/28/15, 09/24/15, 10/28/15, 11/18/15, 12/23/15, 01/23/16, 02/24/16, 03/30/16, 04/27/16, 05/25/16, 06/22/16	
P	I	11/04/15	12/02/15, 12/30/15, 02/03/16,	

			03/03/16, 05/04/16, 06/01/16, 06/29/16.	
P	I	11/04/15	12/02/15, 12/30/15, 02/03/16, 03/03/16, 05/04/16, 06/01/16, 06/29/16.	
P	I	11/04/15	12/02/15, 12/30/15, 02/03/16, 03/03/16, 05/04/16, 06/01/16, 06/29/16.	

Clients Rights:

- M** To keep and be allowed to spend one's own *money* for personal and incidental needs.
- V** To see *visitors* each day
- C** To keep and wear one's own *clothing*.
- T** To have reasonable access to *telephones* ,both to make and receive confidential calls, and to have calls made for one upon request.
- L** To mail and receive unopened correspondence and to have ready access to *letter* writing materials, including sufficient postage.
- P** To keep and use one's own personal *possessions*, including toilet articles.
- S** To have access to individual *storage* space for one's private use.

Good Cause for Denial:

- I Exercise of specific right would be *injurious* to the client; or
- O There is evidence that if the right is not denied the client's exercise of it would seriously infringe upon the rights of *others*; or
- D The institution or facility would suffer serious property *damage* if the right is not denied.

Note: Authority Cited 4504- Welfare and Institutions Code

Appendix C

**Report of Request For Release Activity
For**

Canyon Springs Community Facility

Annual Report

July 1, 2015 - June 30, 2016

Quarter	Number of Requests w/o Interpretiv e Conferenc e	Number of Interpretiv e Conferenc es Held	Number of Requests Confirmed by Conference	Total Number of Requests Forwarded to Court	Number of Requests Denied by the Court	Number of Requests Approved by the Court	Number of requests Resulting in Release
Jul-Sept	6	0	0	6	0	0	0
Oct-Dec	2	0	0	2	0	0	0
Jan-Mar	3	0	0	3	0	0	0
Apr- June	5	0	0	5	0	0	0
Total	16	0	0	16	0	0	0

Report of Request For Release Activity

For Fairview Developmental Center

Annual Report

July 1, 2015 - June 30, 2016

Quarter	Number of Requests w/o Interpretive Conference	Number of Interpretive Conferences Held	Number of Requests Confirmed by Conference	Total Number of Requests Forwarded to Court	Number of Requests Denied by the Court	Number of Requests Approved by the Court	Number of requests Resulting in Release
Jul-Sept	6	0	0	6	0		0
Oct-Dec	6*	1	0	6	1		0
Jan-Mar	3			3			
Apr-June	5			5	1	1	
Total	20	1	0	20	2	0	

This information reflects Writs of Habeas Corpus processed in the last reporting period and current Writs of Habeas Corpus noted above.

10 Consumers at FDC with Writs pending (1 from FY 14-15).

* indicates a second writ was filed as Public Defender reported not receiving the first.

2 consumers who had a pending Writ were moved into the community.

1 writ (from FY14-15) was granted and court gave regional center 30 days to have consumer moved into community.

4 Consumers at FDC had their Writs taken off calendar/withdrawn during this reporting period; 1 writ was from FY14-15

1 Consumer whose writ was granted in FY 11-12 has not been placed due to placement stay. Stay was denied this period however consumer still resides at FDC as regional center does not have an appropriate placement.

Report of Request For Release Activity
For
Porterville Developmental Center
Annual Report

July 1, 2015 - June 30, 2016

Quarter	Number of Requests without Interpretive Conference	Number of Interpretive Conferences Held	Number of Requests Confirmed by Conference	Total Number of Requests Forwarded to Court	Number of Requests Denied by the Court	Number of Requests Approved by the Court	Number of requests Resulting in Release
Jul-Sept	3	0	0	3	2	0	0
Oct-Dec	2	0	0	2	2	0	0
Jan-Mar	1	0	0	1	1	0	0
Apr-June	13	0	0	13	13	0	0
Total	19	0	0	19	19	0	0

Report of Request For Release Activity
For
Sonoma Developmental Center
Annual Report
July 1, 2015 - June 30, 2016

Quarter	Number of Requests w/o Interpretive Conference	Number of Interpretive Conferences Held	Number of Requests Confirmed by Conference	Total Number of Requests Forwarded to Court	Number of Requests Denied by the Court	Number of Requests Approved by the Court	Number of requests Resulting in Release
Jul-Sept	0	1	0	1	0	0	0
Oct-Dec	1	4	0	2	1	0	0
Jan-Mar	1	1	0	1	1	0	0
Apr-June	0	0	0	0	0	0	0
Total	2	6	0	4	2	0	0

One client withdrew his Writ of Habeas Corpus in court. The client decided to work with his regional center and conservator in developing a plan to live near his family in the South bay area especially in lieu of facility closure in 2018. Another writ was filed by an ICF client due to go out in the community in a few weeks after her filing. The court denied her writ based on the report of a transition plan with an established community provider in place to return her regional center catchment area. Northern Star Acute Crisis clients filed two writs. One Star client's writ was denied in court and the other Star client withdrew his request. The writ was withdrawn before the court date.

Sonoma Developmental Center is continuing to consolidate residential cottages and at the same time open up areas on existing cottages that were historically fully locked with restricted access to many areas within the building. Rises in elopements were anticipated for some individuals with identified patterns of elopement in environments previous to the consolidation. SDC provided wider access to previously locked environments for the clients across the campus. The CRA was requested to hold many interpretive conferences during this time and found that teams identified each individual's intent after each elopement event. In all cases, those who eloped did so to familiar areas within the facility and at no time did anyone go a direction that would lead out of the facility. All individuals were successfully re-directed back to supervised areas without showing indications of distress or unwillingness to return.

CRA Roster

STATE DEVELOPMENTAL CENTER CLIENTS' RIGHTS ADVOCATES

State Developmental Center	CRA	Address	Telephone Number	Fax Number	E-mail Address
Canyon Springs	Robbin Puccio	69-696 Ramon Road Cathedral City, CA 92234	(760) 770-6251	(760) 770-0581	Robbin.puccio@cs.dds.ca.gov
Fairview	Laurie St. Pierre	2501 Harbor Blvd. Building 19 Costa Mesa, CA 92626	(714) 957-5690	(714) 957-5084	Laurie.st.pierre@fdc.dds.ca.gov
Porterville	George Ngugi	P.O. Box 2000 Porterville, CA 93258	(559) 782-2431	(559) 782-2630	George.ngugi@pdc.dds.ca.gov
Sonoma	Tobias Weare	King Building #111 Sonoma SDC P.O. Box 1493 Eldridge, CA 95431	(707) 938-6501	(707) 938-6623	tobias.weare@sonoma.dds.ca.gov
Headquarters	Holly Bins CRA/VAS Project Manager	1507 21 st St., Suite 210 Sacramento, CA 95814	(408) 834-2458	(916) 405-7440	holly.bins@scdd.ca.gov